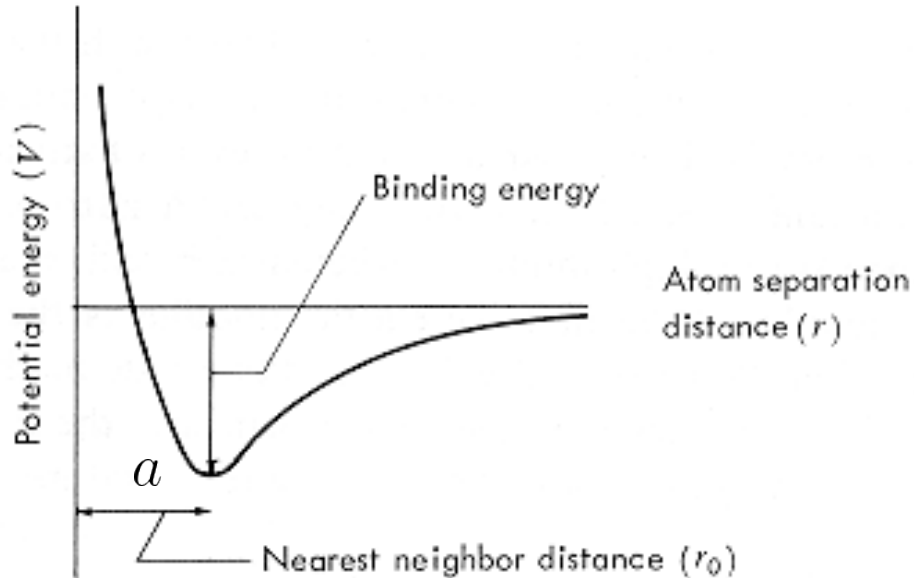


# Matéria Condensada



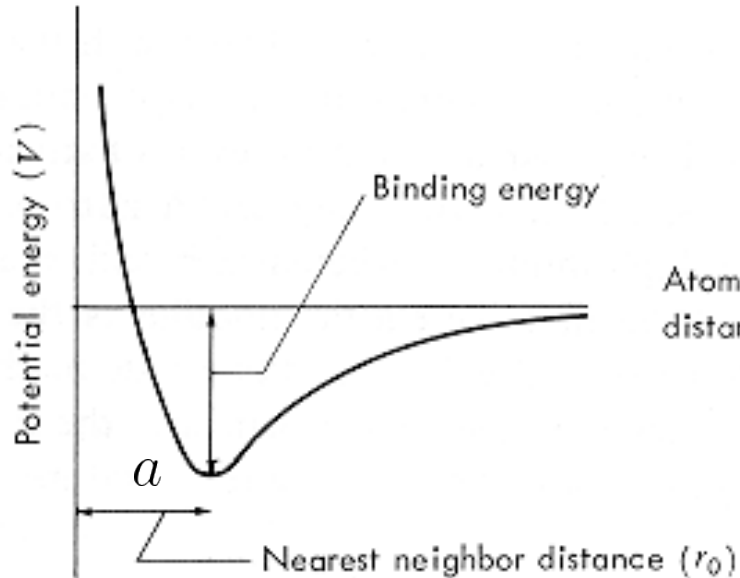
# Introdução

- Coesão cristalina

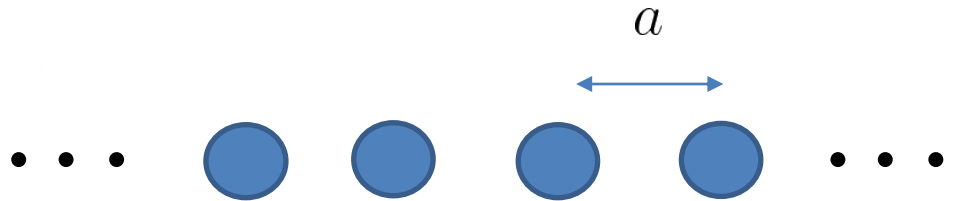


# Introdução

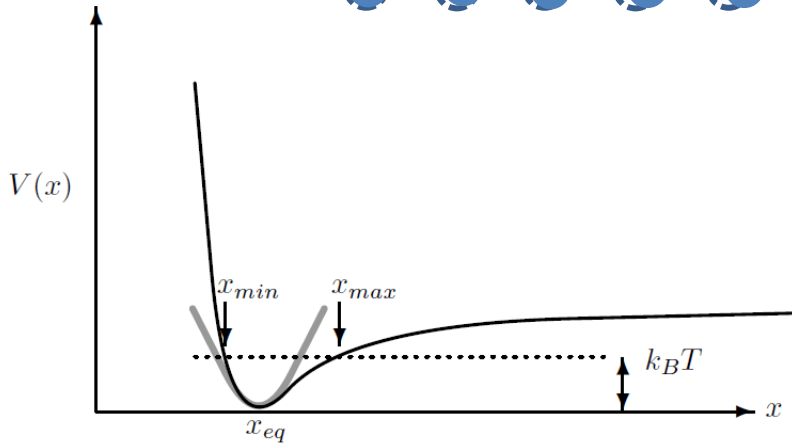
- Coesão cristalina



$$\hat{H} = -\frac{\hbar^2}{2m_e} \sum_i \nabla_i^2 - \sum_{i,I} \frac{Z_I e^2}{|\mathbf{r}_i - \mathbf{R}_I|} + \frac{1}{2} \sum_{i \neq j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|}$$
$$- \sum_I \frac{\hbar^2}{2M_I} \nabla_I^2 + \frac{1}{2} \sum_{I \neq J} \frac{Z_I Z_J e^2}{|\mathbf{R}_I - \mathbf{R}_J|},$$



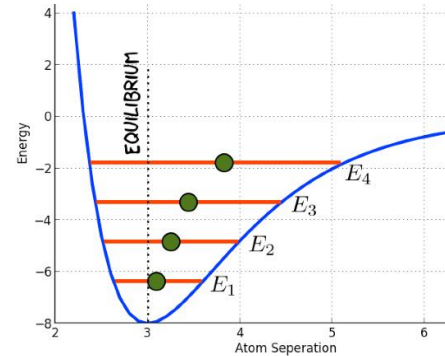
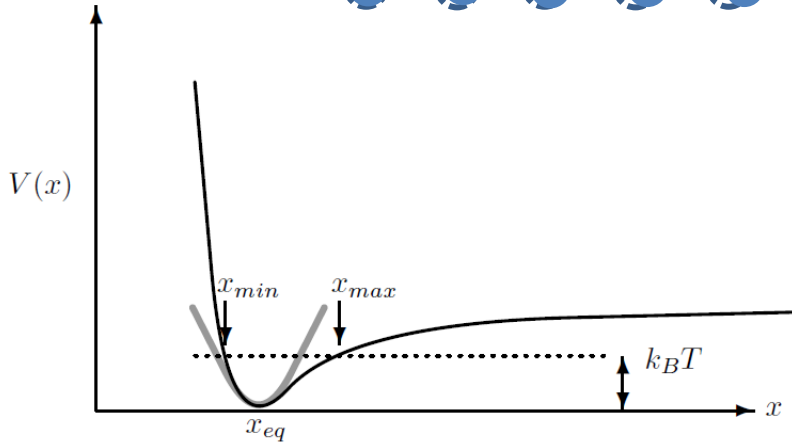
# Aproximação Harmônica



$$V(x) \approx V(x_{eq}) + \frac{\kappa}{2}(x - x_{eq})^2.$$

$$-\kappa(\delta x_{eq}) = F$$

# Aproximação Harmônica

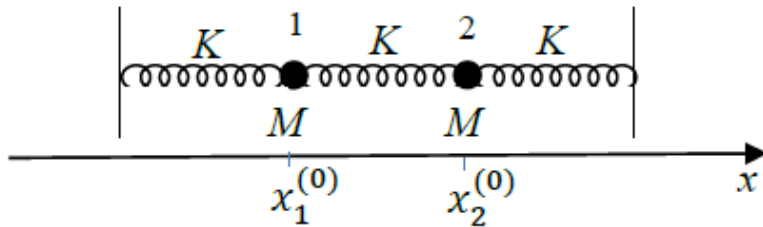


$$V(x) \approx V(x_{eq}) + \frac{\kappa}{2}(x - x_{eq})^2$$

$$-\kappa(\delta x_{eq}) = F$$

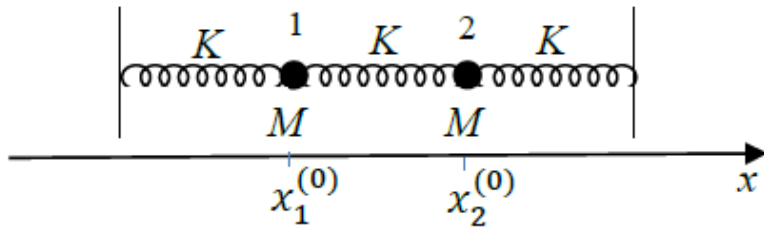
$$V(x) \approx V(x_{eq}) + \frac{\kappa}{2}(x - x_{eq})^2 - \frac{\kappa_3}{3!}(x - x_{eq})^3 + \dots$$

# Aproximação Harmônica



$$E = \frac{1}{2}M\dot{u}_1^2 + \frac{1}{2}M\dot{u}_2^2 + \frac{1}{2}K[u_1^2 + (u_1 - u_2)^2 + u_2^2].$$

# Aproximação Harmônica



$$E = \frac{1}{2}M\dot{u}_1^2 + \frac{1}{2}M\dot{u}_2^2 + \frac{1}{2}K[u_1^2 + (u_1 - u_2)^2 + u_2^2].$$

$$\begin{cases} F_1 = -Ku_1 - K(u_1 - u_2) = -2Ku_1 + Ku_2 \\ F_2 = -Ku_2 - K(u_2 - u_1) = Ku_1 - 2Ku_2 \end{cases}$$



$$\mathbf{F} = -\Phi \cdot \mathbf{u}$$

$$\Phi = \begin{bmatrix} 2K & -K \\ -K & 2K \end{bmatrix} = - \begin{bmatrix} \left. \frac{\partial F_1}{\partial u_1} \right|_0 & \left. \frac{\partial F_1}{\partial u_2} \right|_0 \\ \left. \frac{\partial F_2}{\partial u_1} \right|_0 & \left. \frac{\partial F_2}{\partial u_2} \right|_0 \end{bmatrix} = \begin{bmatrix} \left. \frac{\partial^2 U}{\partial u_1 \partial u_1} \right|_0 & \left. \frac{\partial^2 U}{\partial u_1 \partial u_2} \right|_0 \\ \left. \frac{\partial^2 U}{\partial u_2 \partial u_1} \right|_0 & \left. \frac{\partial^2 U}{\partial u_2 \partial u_2} \right|_0 \end{bmatrix}$$

$$E = \frac{1}{2} \mathbf{u} \cdot \Phi \cdot \mathbf{u}$$

Matriz de constantes de forças

$$q_1 = \frac{u_1+u_2}{\sqrt{2}}; \quad q_2 = \frac{u_1-u_2}{\sqrt{2}} \quad E = \left[ \frac{1}{2} M \dot{q}_1^2 + \frac{1}{2} M \omega_1^2 q_1^2 \right] + \left[ \frac{1}{2} M \dot{q}_2^2 + \frac{1}{2} M \omega_2^2 q_2^2 \right]$$

$$\omega_1 = \sqrt{K/M} \quad \omega_2 = \sqrt{3K/M}$$



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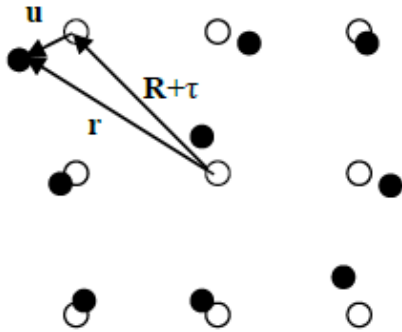
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Como descrever um problema com N átomos?

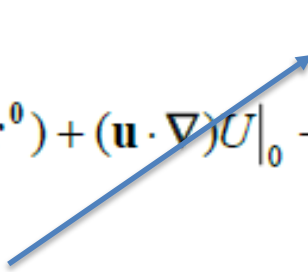
$$q_1 = \frac{u_1 + u_2}{\sqrt{2}}; \quad q_2 = \frac{u_1 - u_2}{\sqrt{2}} \quad E = \left[ \frac{1}{2} M \dot{q}_1^2 + \frac{1}{2} M \omega_1^2 q_1^2 \right] + \left[ \frac{1}{2} M \dot{q}_2^2 + \frac{1}{2} M \omega_2^2 q_2^2 \right]$$

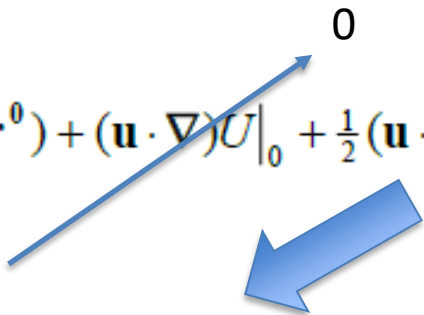
$$\omega_1 = \sqrt{K/M} \quad \omega_2 = \sqrt{3K/M}$$

Como descrever um problema com N átomos?

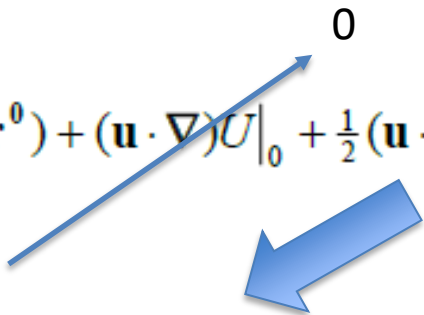


$$\mathbf{r} = \begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_\mu \\ \vdots \\ r_{3N} \end{bmatrix}; \quad \mathbf{r}^0 = \begin{bmatrix} r_1^0 \\ r_2^0 \\ \vdots \\ r_\mu^0 \\ \vdots \\ r_{3N}^0 \end{bmatrix}; \quad \mathbf{u} = \begin{bmatrix} u_1 \\ u_2 \\ \vdots \\ u_\mu \\ \vdots \\ u_{3N} \end{bmatrix}$$

$$U(\mathbf{r}) = U(\mathbf{r}^0 + \mathbf{u}) = U(\mathbf{r}^0) + (\mathbf{u} \cdot \nabla)U|_0 + \frac{1}{2}(\mathbf{u} \cdot \nabla)^2 U|_0 + \dots$$


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$$\begin{aligned} \frac{1}{2}(\mathbf{u} \cdot \nabla)^2 U|_0 &= \frac{1}{2} \left( u_1 \frac{\partial}{\partial u_1} + \dots + u_{3N} \frac{\partial}{\partial u_{3N}} \right) \left( u_1 \frac{\partial}{\partial u_1} + \dots + u_{3N} \frac{\partial}{\partial u_{3N}} \right) U \Big|_0 = \\ &= \frac{1}{2} \sum_{\substack{\mu=1, \dots, 3N \\ \nu=1, \dots, 3N}} u_\mu \left( \frac{\partial^2 U}{\partial u_\mu \partial u_\nu} \right) \Big|_0 u_\nu \end{aligned}$$

$$U(\mathbf{r}) = U(\mathbf{r}^0 + \mathbf{u}) = U(\mathbf{r}^0) + (\mathbf{u} \cdot \nabla)U|_0 + \frac{1}{2}(\mathbf{u} \cdot \nabla)^2 U|_0 + \dots$$


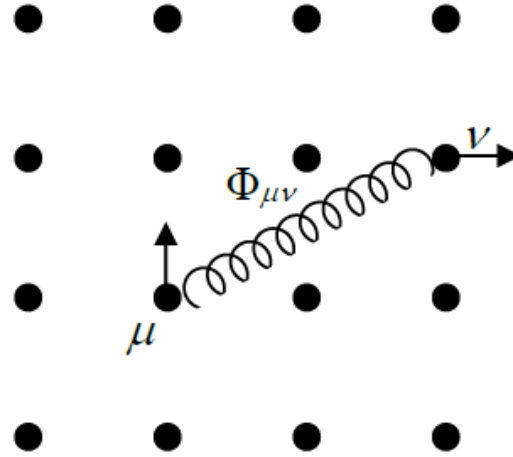
$$\begin{aligned} \frac{1}{2}(\mathbf{u} \cdot \nabla)^2 U|_0 &= \frac{1}{2} \left( u_1 \frac{\partial}{\partial u_1} + \dots + u_{3N} \frac{\partial}{\partial u_{3N}} \right) \left( u_1 \frac{\partial}{\partial u_1} + \dots + u_{3N} \frac{\partial}{\partial u_{3N}} \right) U \Big|_0 = \\ &= \frac{1}{2} \sum_{\substack{\mu=1, \dots, 3N \\ \nu=1, \dots, 3N}} u_\mu \left( \frac{\partial^2 U}{\partial u_\mu \partial u_\nu} \right) \Big|_0 u_\nu \end{aligned}$$

$$U = U_0 + \frac{1}{2} \mathbf{u} \cdot \Phi \cdot \mathbf{u}$$

Matriz de constantes de forças

$$\Phi = \begin{bmatrix} \left. \frac{\partial^2 U}{\partial u_1 \partial u_1} \right|_0 & \dots & \left. \frac{\partial^2 U}{\partial u_1 \partial u_{3N}} \right|_0 \\ \vdots & \ddots & \vdots \\ \left. \frac{\partial^2 U}{\partial u_{3N} \partial u_1} \right|_0 & \dots & \left. \frac{\partial^2 U}{\partial u_{3N} \partial u_{3N}} \right|_0 \end{bmatrix}$$

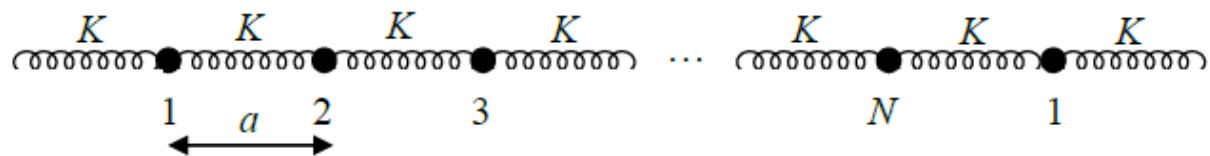
$$\mathbf{F} = \begin{bmatrix} F_1 \\ F_2 \\ \vdots \\ F_\mu \\ \vdots \\ F_{3N} \end{bmatrix} = \frac{-\partial U}{\partial \mathbf{u}} = -\mathbf{\Phi} \cdot \mathbf{u}$$



1. A matriz de constante de forças é simétrica:  $\Phi_{\mu\nu} = \Phi_{\nu\mu}$

2.  $\sum_{\mu} \Phi_{\mu 1} = 0$

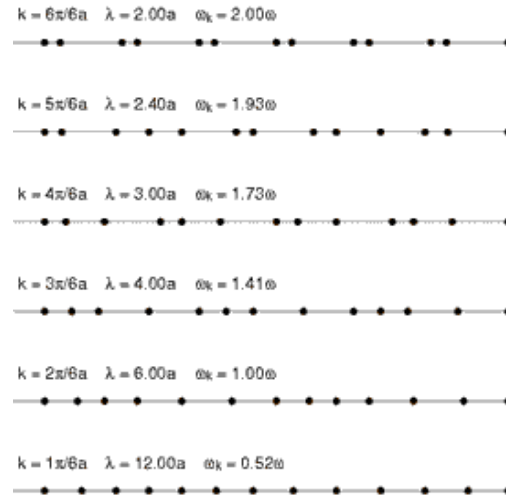
Dificuldades: Diagonalizar a matriz de constante de forças pode ser desafiador.



$$\Phi = \begin{bmatrix} 2K & -K & 0 & 0 & \dots & 0 & -K \\ -K & 2K & -K & 0 & \dots & 0 & 0 \\ 0 & -K & 2K & -K & \dots & 0 & 0 \\ 0 & 0 & -K & 2K & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & -K & 0 \\ 0 & 0 & 0 & 0 & 0 & 2K & -K \\ -K & 0 & 0 & 0 & 0 & -K & 2K \end{bmatrix} .$$

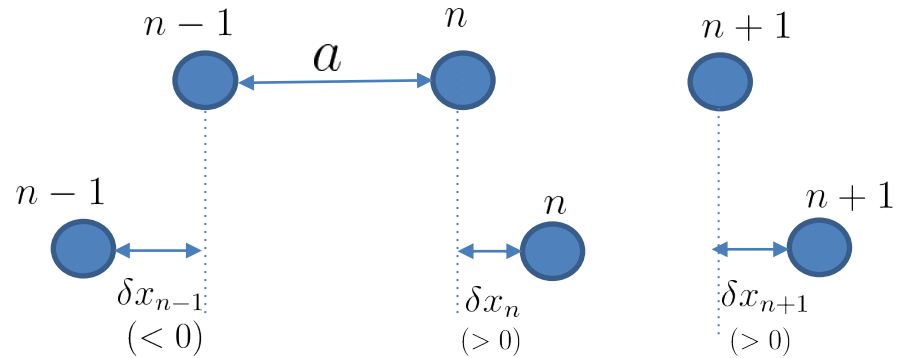
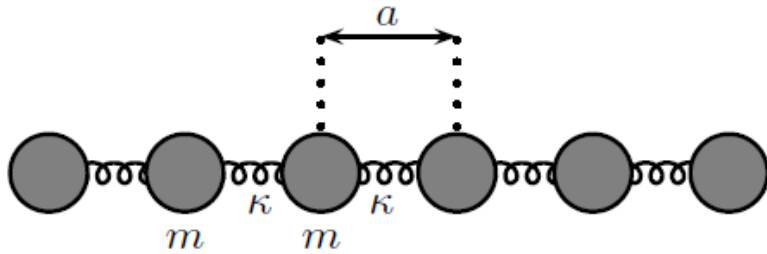
# Modos Normais de Vibração

- Os modos normais são excitações **coletivas** nas quais todos os átomos oscilam com a mesma frequência.



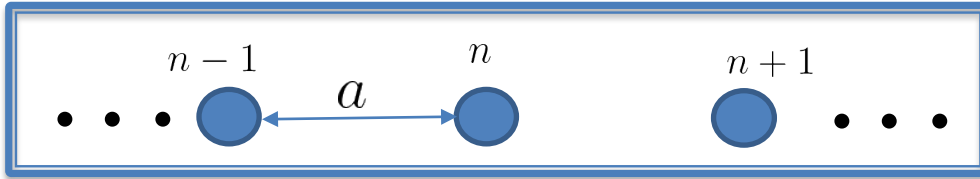


# Modos Normais de Vibração



$$m \frac{d^2 x_n}{dt^2} = \kappa (\delta x_{n+1} - \delta x_n) - \kappa (\delta x_n - \delta x_{n-1})$$

# Estudo dos Modos Normais



$$m \frac{d^2 x_n}{dt^2} = \kappa(\delta x_{n+1} - \delta x_n) - \kappa(\delta x_n - \delta x_{n-1})$$

- Buscaremos soluções para os modos normais a partir da *ansatz*

$$\delta x_n = A e^{i\omega t - ikx_n^{eq}} = A e^{i(\omega t - nka)}$$

$$\delta x_0 = A e^{i\omega t} \quad \delta x_1 = A e^{i\omega t} e^{-ika} \quad \delta x_2 = A e^{i\omega t} e^{-2ika}$$

$$\delta x_n = Ae^{i(\omega t - nka)} \quad \rightarrow \quad m \frac{d^2 x_n}{dt^2} = \kappa(\delta x_{n+1} - \delta x_n) - \kappa(\delta x_n - \delta x_{n-1})$$

$$-m\omega^2 Ae^{i\omega t - ikna} = \kappa Ae^{i\omega t} \left[ e^{-ika(n+1)} + e^{-ika(n-1)} - 2e^{-ikan} \right]$$

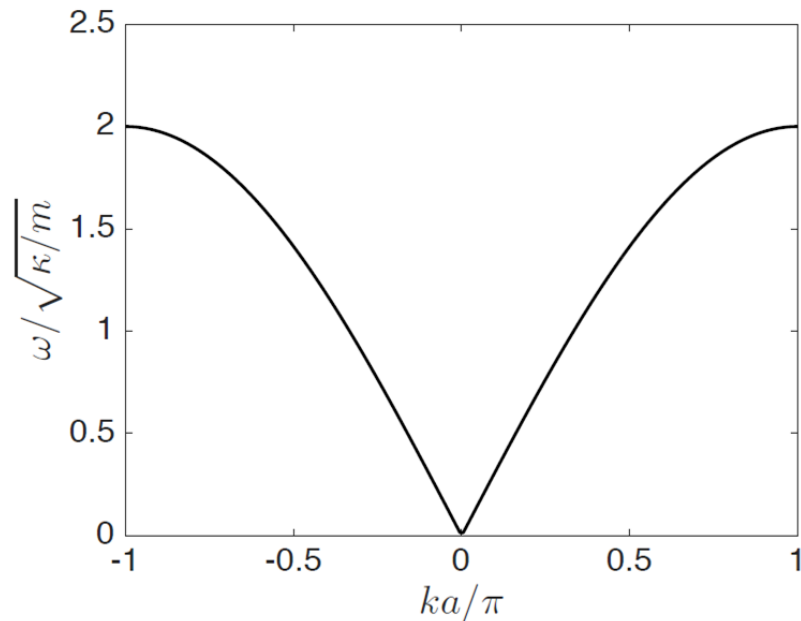
$$\delta x_n = Ae^{i(\omega t - nka)} \quad \rightarrow \quad m \frac{d^2 x_n}{dt^2} = \kappa(\delta x_{n+1} - \delta x_n) - \kappa(\delta x_n - \delta x_{n-1})$$

$$-m\omega^2 Ae^{i\omega t - ikna} = \kappa Ae^{i\omega t} \left[ e^{-ika(n+1)} + e^{-ika(n-1)} - 2e^{-ikan} \right]$$

$$m\omega^2 = 2\kappa[1 - \cos(ka)] = 4\kappa \sin^2(ka/2)$$

Relação de dispersão

$$\omega = 2 \sqrt{\frac{\kappa}{m}} \left| \sin \left( \frac{ka}{2} \right) \right|$$



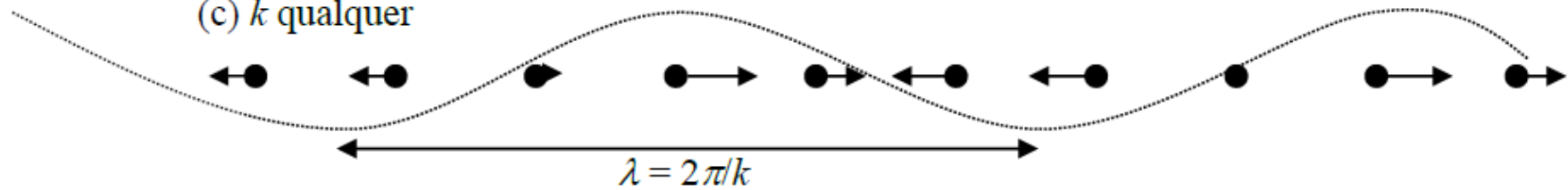
(a)  $k = 0$



(b)  $k = \pm\pi/a$



(c)  $k$  qualquer



Velocidade do som

$$v = \sqrt{\frac{B}{\rho}} = \sqrt{\frac{1}{\rho\beta}}$$

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Compressibilidade

$$\beta = -\frac{1}{V} \frac{\partial V}{\partial P}$$

$$\beta = -\frac{1}{L} \frac{\partial L}{\partial F} = \frac{1}{\kappa x_{eq}} = \frac{1}{\kappa a}$$

$$v = \sqrt{\frac{\kappa a^2}{m}}$$

## Velocidade do som

$$v = \sqrt{\frac{B}{\rho}} = \sqrt{\frac{1}{\rho\beta}}$$

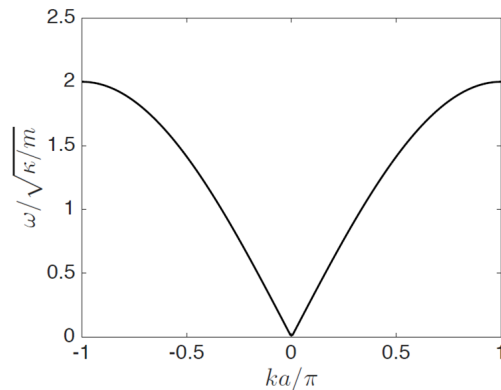
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## Velocidade de grupo



$$v_{group} = d\omega/dk$$



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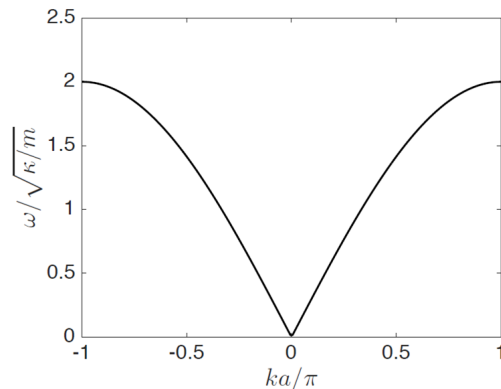
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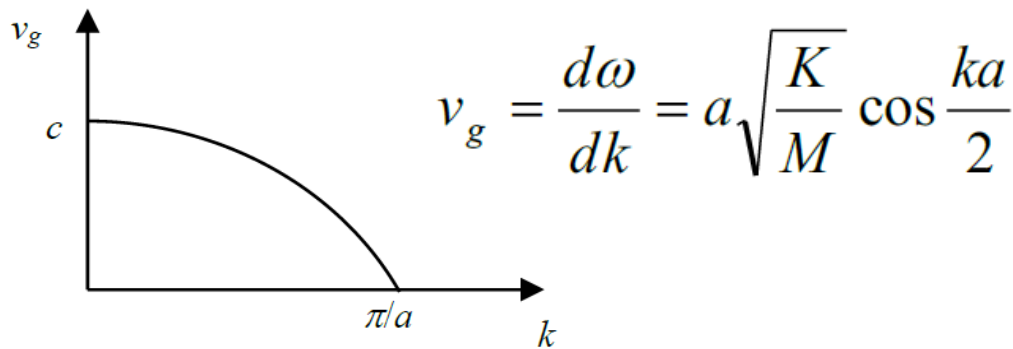
$$\beta = -\frac{1}{L} \frac{\partial L}{\partial F} = \frac{1}{\kappa x_{eq}} = \frac{1}{\kappa a}$$

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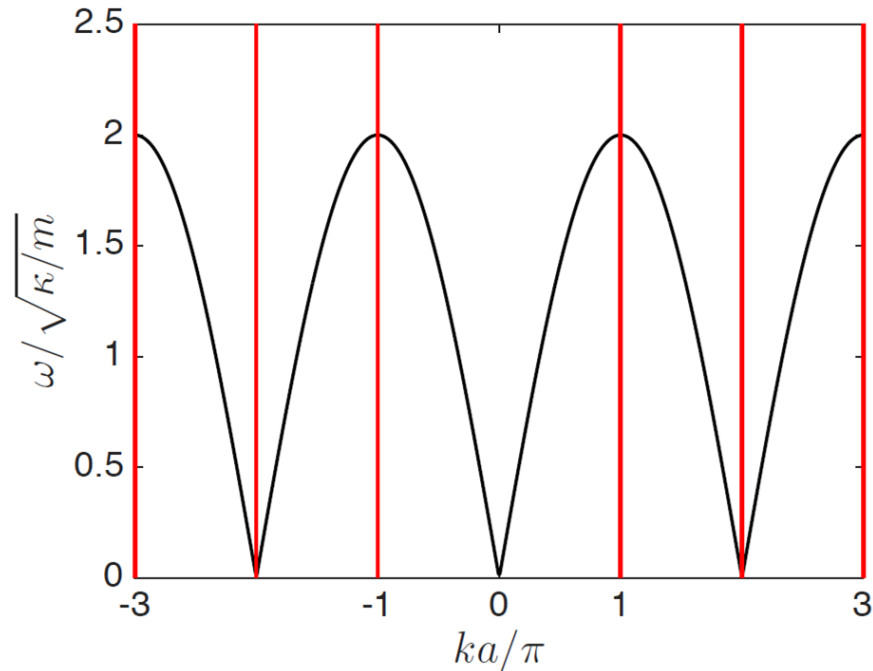
## Velocidade de grupo



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# 1ª Zona de Brillouin



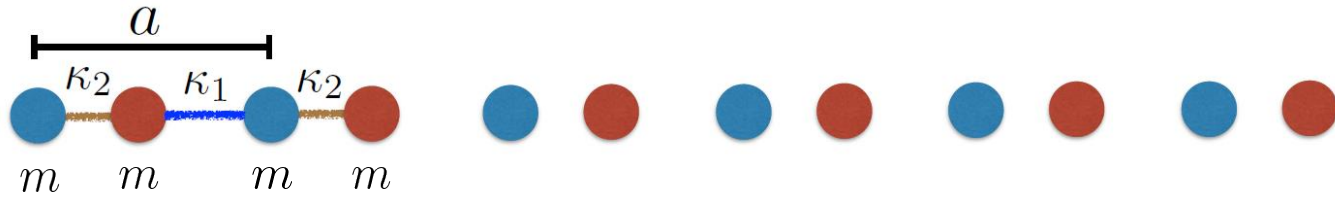
$$\mathbf{k} = \frac{n_1}{N_1} \mathbf{b}_1 + \frac{n_2}{N_2} \mathbf{b}_2 + \frac{n_3}{N_3} \mathbf{b}_3$$

$$\omega = 2\sqrt{\frac{\kappa}{m}} \left| \text{sen} \left( \frac{ka}{2} \right) \right|$$

$$k \in \left[ -\frac{\pi}{a}, \frac{\pi}{a} \right]$$

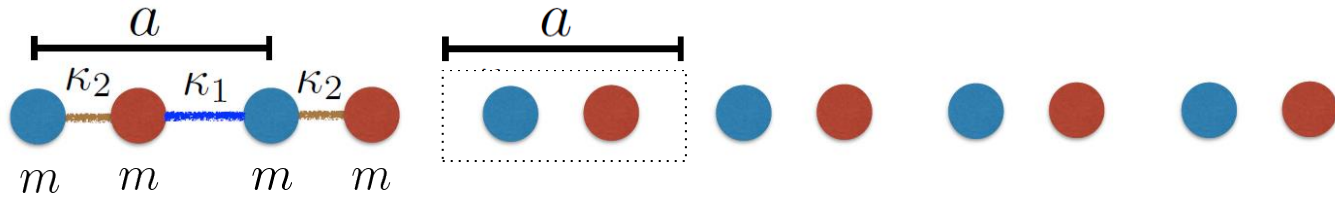
# Cadeia diatômica

- Seja uma cadeia formada por dois tipos de átomos (ou ligações).



# Cadeia diatômica

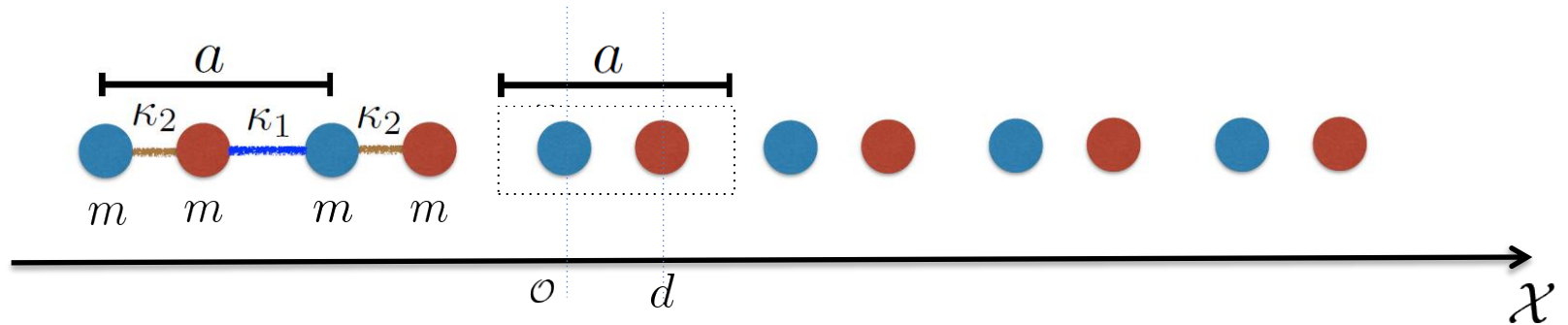
- Seja uma cadeia formada por dois tipos de átomos (ou ligações).



- Definição de célula unitária.

# Cadeia diatômica

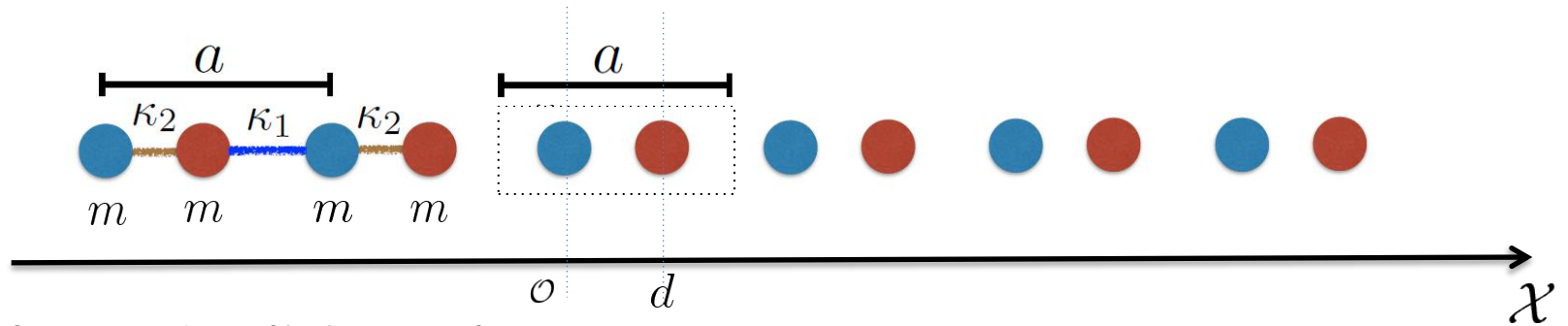
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# Cadeia diatômica

- Seja uma cadeia formada por dois tipos de átomos (ou ligações).



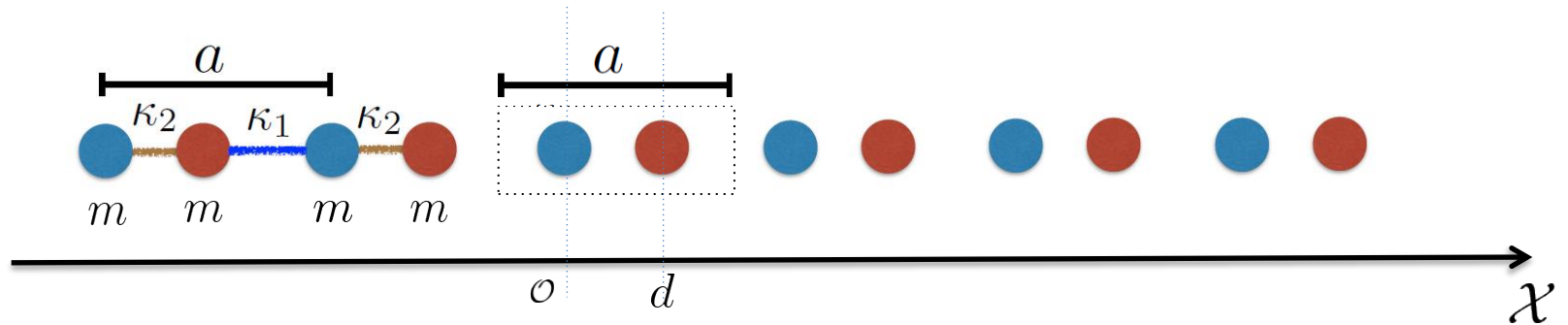
- Definição de célula unitária.

- Base:  $0, d$

- 1ª Zona de Brillouin:  $k \in \left[-\frac{\pi}{a}, \frac{\pi}{a}\right]$

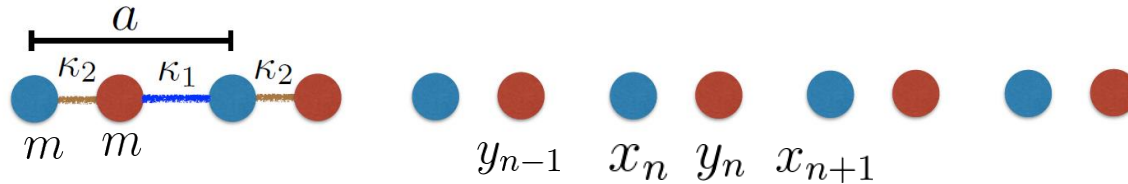
# Cadeia diatômica

- Seja uma cadeia formada por dois tipos de átomos (ou ligações).



- Devemos encontrar os modos normais de vibração!

# Cadeia diatômica



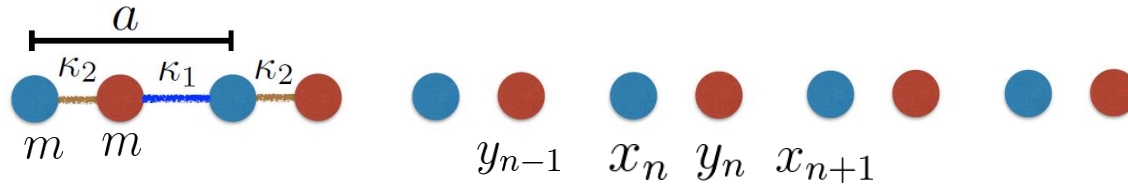
- As equações de movimento neste caso são:

$$m \frac{d^2 (\delta x_n)}{dt^2} = \kappa_2 (\delta y_n - \delta x_n) + \kappa_1 (\delta y_{n-1} - \delta x_n)$$
$$m \frac{d^2 (\delta y_n)}{dt^2} = \kappa_1 (\delta x_{n+1} - \delta y_n) + \kappa_2 (\delta x_n - \delta y_n)$$

$$(n = 1, \dots, N)$$



# Cadeia diatômica



- As equações de movimento neste caso são:

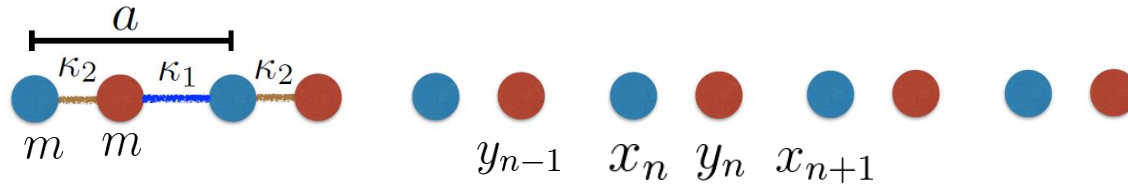
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- Ansätze:

$$\delta x_n = A_x e^{-ikna+i\omega t}$$

# Cadeia diatômica



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$$m \frac{d^2(\delta x_n)}{dt^2} = \kappa_2(\delta y_n - \delta x_n) + \kappa_1(\delta y_{n-1} - \delta x_n)$$
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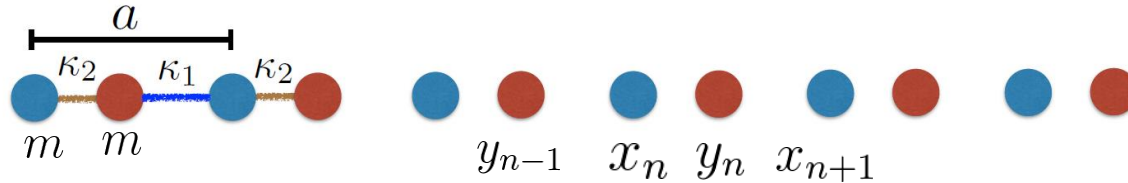
$$(n = 1, \dots, N)$$

- Ansätze:

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# Cadeia diatômica



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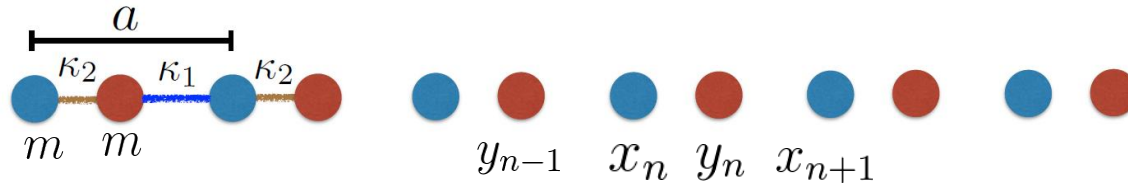
- Ansätze:

$$\delta x_n = A_x e^{-ikna+i\omega t}$$

$$\delta y_n = A_y e^{-ikna+i\omega t}$$

$$A_x, A_y \in \mathbb{C}$$

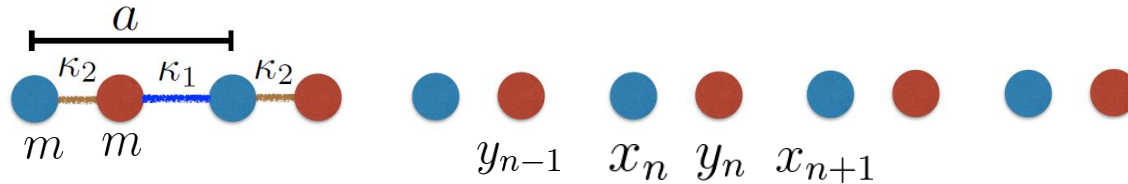
# Cadeia diatômica



- As equações de movimento neste caso são:

$$\begin{aligned} \delta x_n &= A_x e^{-ikna + i\omega t} & m \frac{d^2(\delta x_n)}{dt^2} &= \kappa_2 (\delta y_n - \delta x_n) + \kappa_1 (\delta y_{n-1} - \delta x_n) \\ \delta y_n &= A_y e^{-ikna + i\omega t} & m \frac{d^2(\delta y_n)}{dt^2} &= \kappa_1 (\delta x_{n+1} - \delta y_n) + \kappa_2 (\delta x_n - \delta y_n) \end{aligned}$$

# Cadeia diatômica



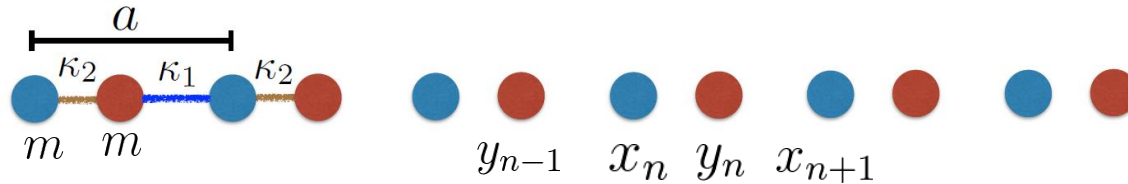
- As equações de movimento neste caso são:

$$\begin{aligned} \delta x_n &= A_x e^{-ikna+i\omega t} & m \frac{d^2(\delta x_n)}{dt^2} &= \kappa_2(\delta y_n - \delta x_n) + \kappa_1(\delta y_{n-1} - \delta x_n) \\ \delta y_n &= A_y e^{-ikna+i\omega t} & m \frac{d^2(\delta y_n)}{dt^2} &= \kappa_1(\delta x_{n+1} - \delta y_n) + \kappa_2(\delta x_n - \delta y_n) \end{aligned}$$

- Após alguma álgebra:

$$m\omega^2 \begin{pmatrix} A_x \\ A_y \end{pmatrix} = \begin{pmatrix} \kappa_1 + \kappa_2 & -\kappa_2 - \kappa_1 e^{ika} \\ -\kappa_2 - \kappa_1 e^{-ika} & \kappa_1 + \kappa_2 \end{pmatrix} \begin{pmatrix} A_x \\ A_y \end{pmatrix}$$

# Cadeia diatômica



- As equações de movimento neste caso são:

$$\delta x_n = A_x e^{-ikna + i\omega t} \quad m \frac{d^2(\delta x_n)}{dt^2} = \kappa_2 (\delta y_n - \delta x_n) + \kappa_1 (\delta y_{n-1} - \delta x_n)$$

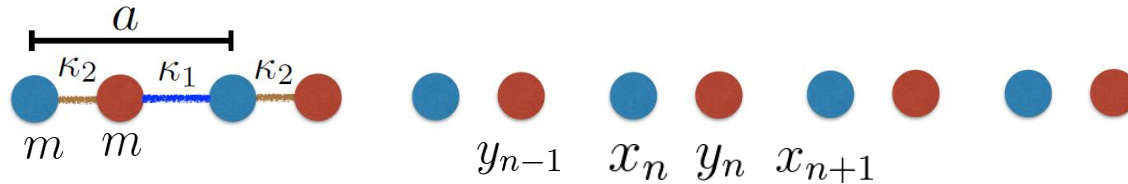
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- Após alguma álgebra:

Matriz 2 x 2 !!

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# Cadeia diatômica



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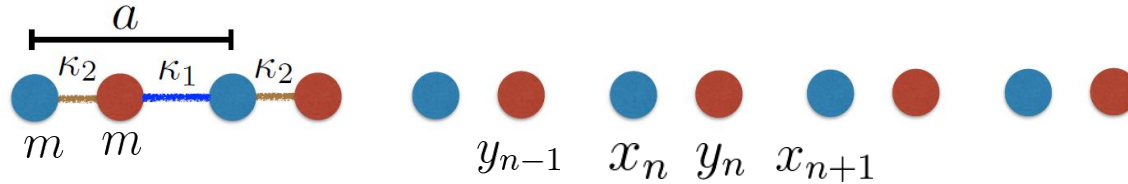
- Após alguma álgebra:

Matriz 2 x 2 !!

Para cada  $k$  há 2  $\omega$ 's!!

$$m\omega^2 \begin{pmatrix} A_x \\ A_y \end{pmatrix} = \begin{pmatrix} \kappa_1 + \kappa_2 & -\kappa_2 - \kappa_1 e^{ika} \\ -\kappa_2 - \kappa_1 e^{-ika} & \kappa_1 + \kappa_2 \end{pmatrix} \begin{pmatrix} A_x \\ A_y \end{pmatrix}$$

# Cadeia diatômica

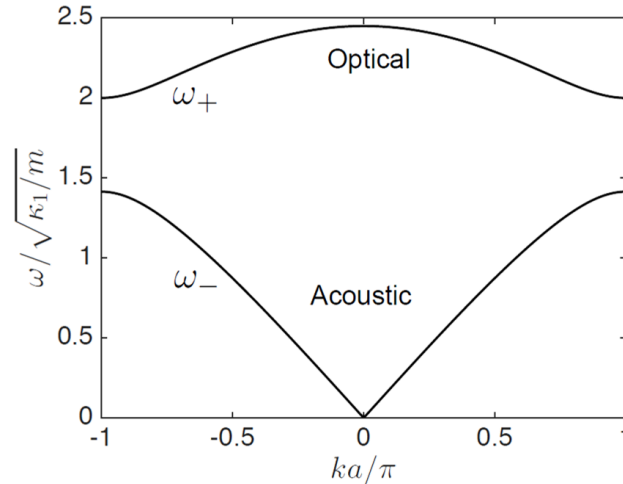
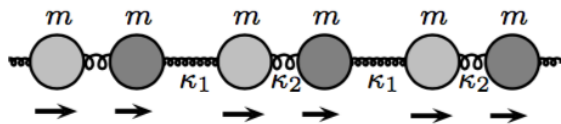


- Encontrando os autovalores da matriz anterior obtemos

$$\omega_{\pm} = \sqrt{\frac{\kappa_1 + \kappa_2}{m} \pm \frac{1}{m} \sqrt{\kappa_1^2 + \kappa_2^2 + 2\kappa_1\kappa_2 \cos(ka)}}$$

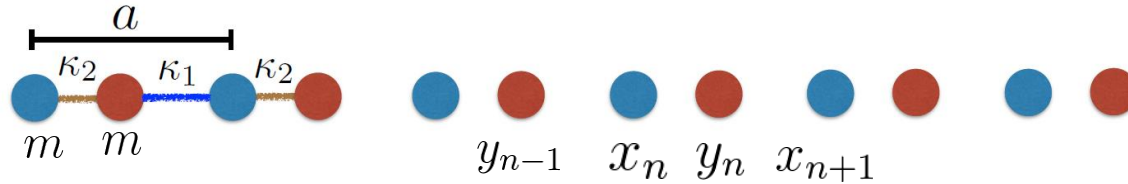
- Autovetores  $ka \ll 1$ :

$$\omega_- \rightarrow A_1 = A_2$$





# Cadeia diatômica

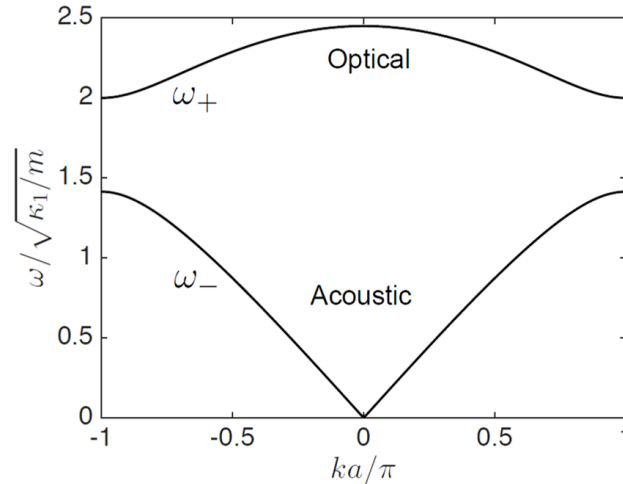
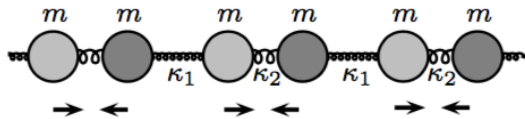


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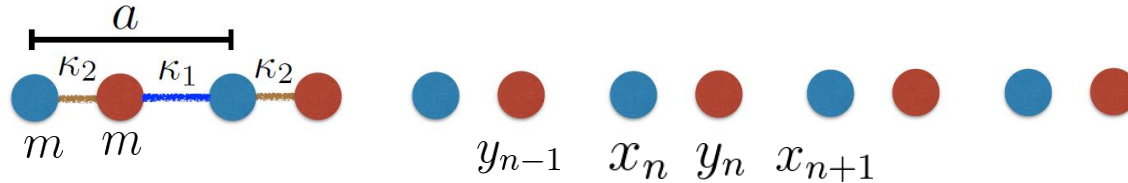
- Autovetores  $ka \ll 1$ :

$$\omega_+ \rightarrow A_1 = -A_2$$



$$\kappa_2 = 2\kappa_1$$

# Cadeia diatômica



- Encontrando os autovalores da matriz anterior obtemos

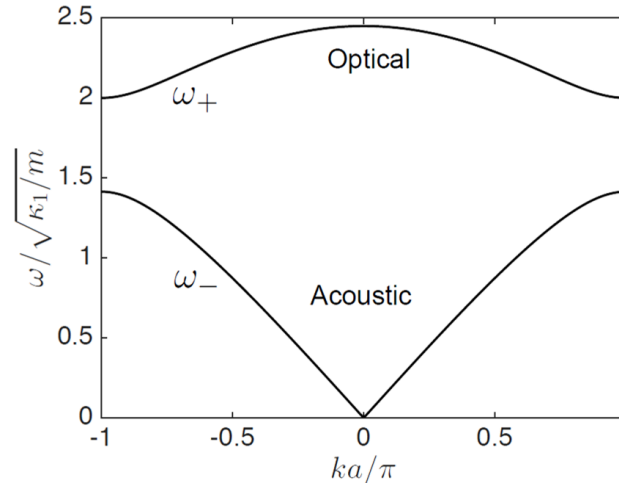
$$\omega_{\pm} = \sqrt{\frac{\kappa_1 + \kappa_2}{m} \pm \frac{1}{m} \sqrt{\kappa_1^2 + \kappa_2^2 + 2\kappa_1\kappa_2 \cos(ka)}}$$

- Para  $ka \ll 1$ :

- Ramo acústico:

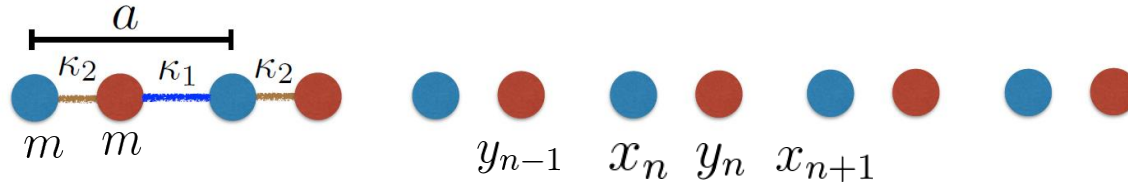
$$\omega_- \approx v_{\text{som}} k$$

$$v_{\text{som}} = \frac{d\omega_-}{dk} = \sqrt{\frac{a^2 \kappa_1 \kappa_2}{2m(\kappa_1 + \kappa_2)}}$$



$$\kappa_2 = 2\kappa_1$$

# Cadeia diatômica



- Encontrando os autovalores da matriz anterior obtemos

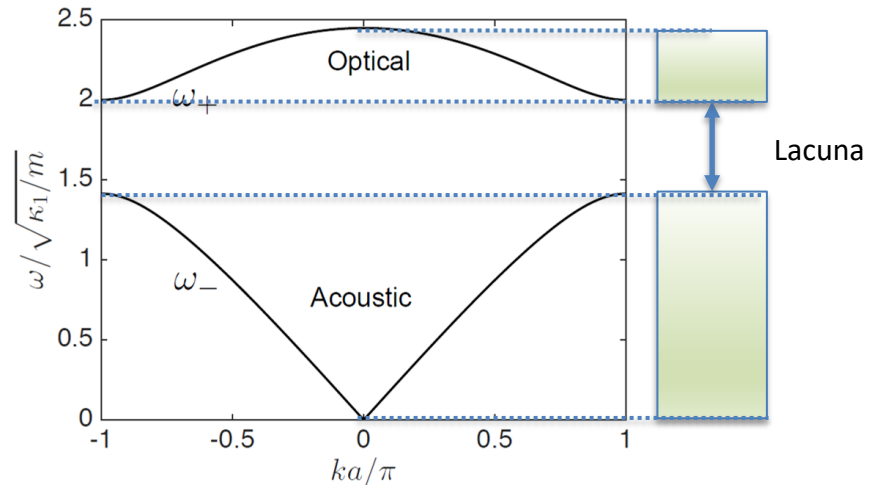
$$\omega_{\pm} = \sqrt{\frac{\kappa_1 + \kappa_2}{m} \pm \frac{1}{m} \sqrt{\kappa_1^2 + \kappa_2^2 + 2\kappa_1\kappa_2 \cos(ka)}}$$

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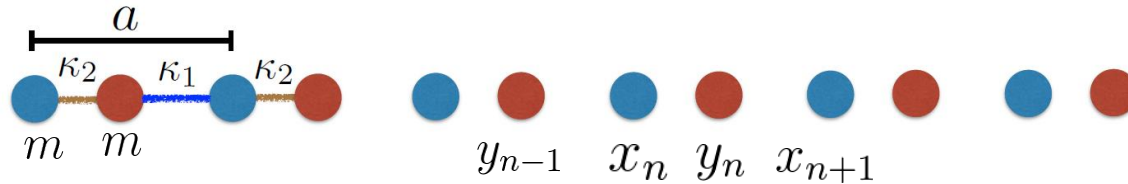
- Ramo acústico:

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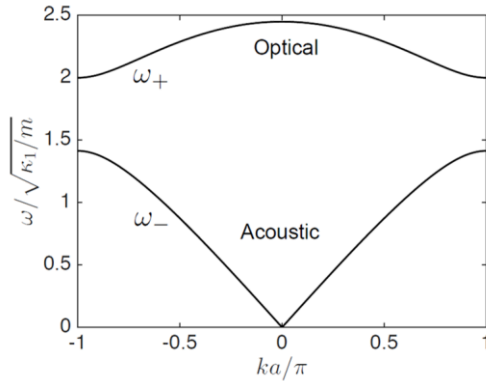
$$v_{\text{som}} = \frac{d\omega_-}{dk} = \sqrt{\frac{a^2 \kappa_1 \kappa_2}{2m(\kappa_1 + \kappa_2)}}$$



# Cadeia diatômica

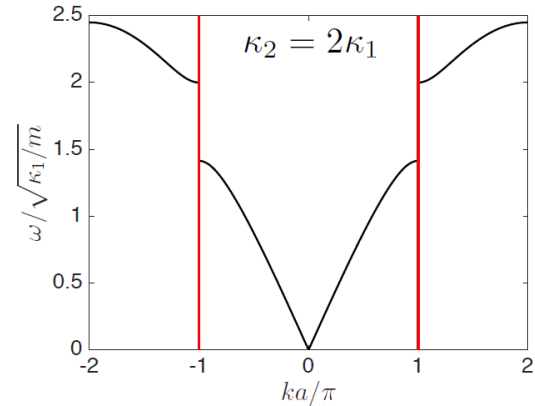


- Outra forma de representar a relação de dispersão:



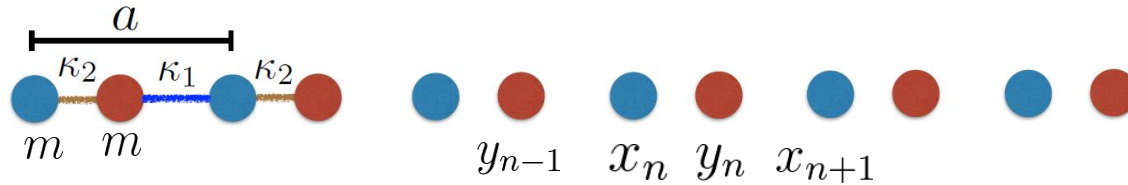
**Zona reduzida**

$$\kappa_2 = 2\kappa_1$$

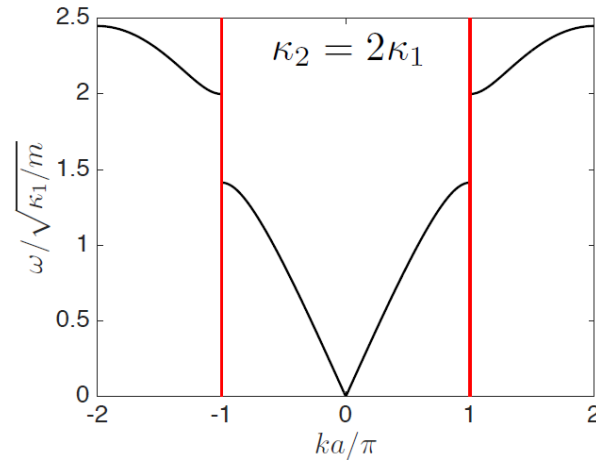


**Zona Estendida**

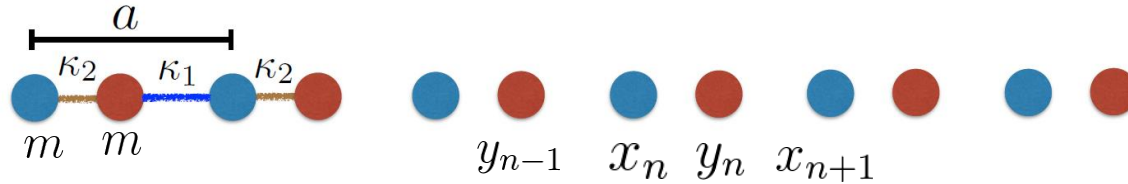
# Cadeia diatômica



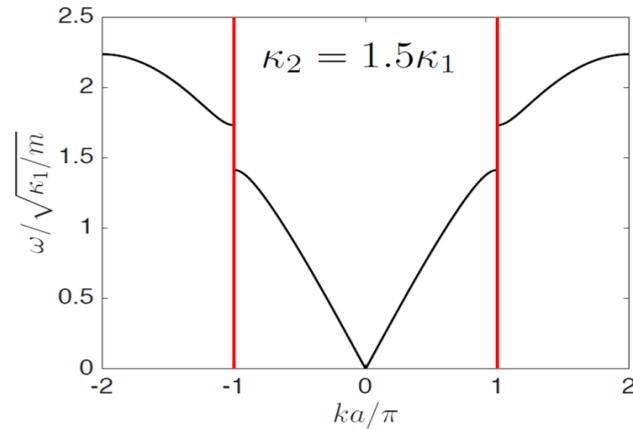
- Vejamos o que acontece quando  $\kappa_2 \rightarrow \kappa_1$



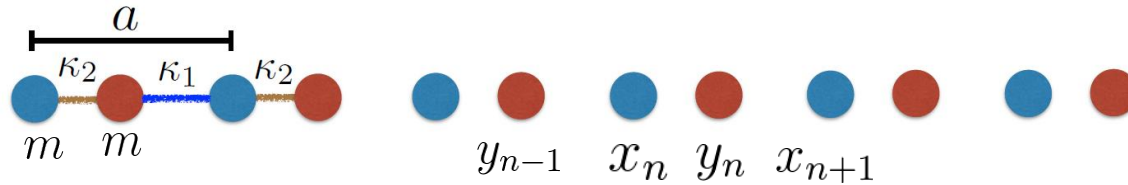
# Cadeia diatômica



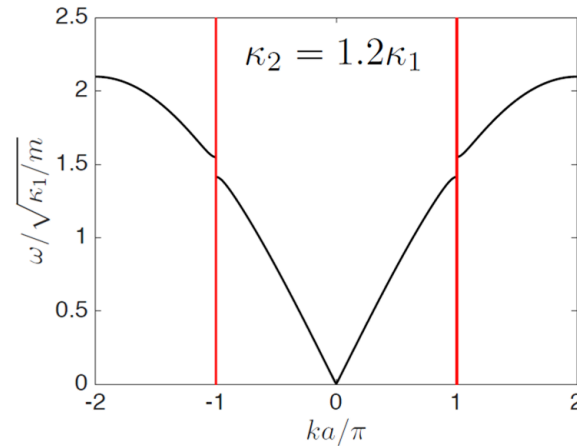
- Vejamos o que acontece quando  $\kappa_2 \rightarrow \kappa_1$



# Cadeia diatômica



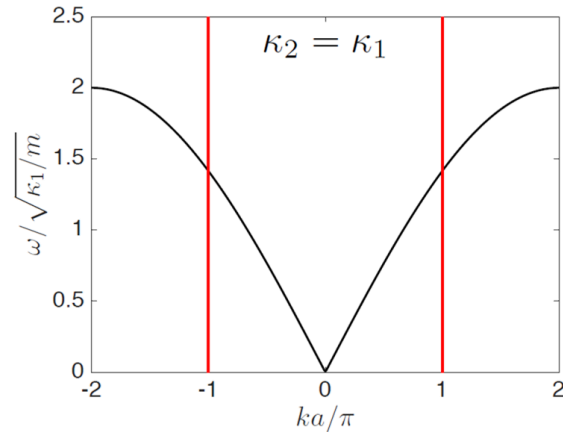
- Vejamos o que acontece quando  $\kappa_2 \rightarrow \kappa_1$



# Cadeia diatômica

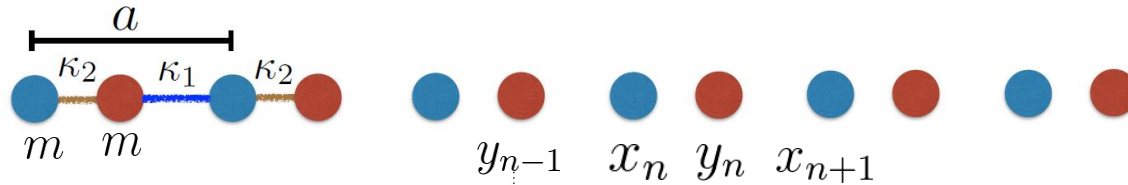


- Vejamos o que acontece quando  $\kappa_2 \rightarrow \kappa_1$

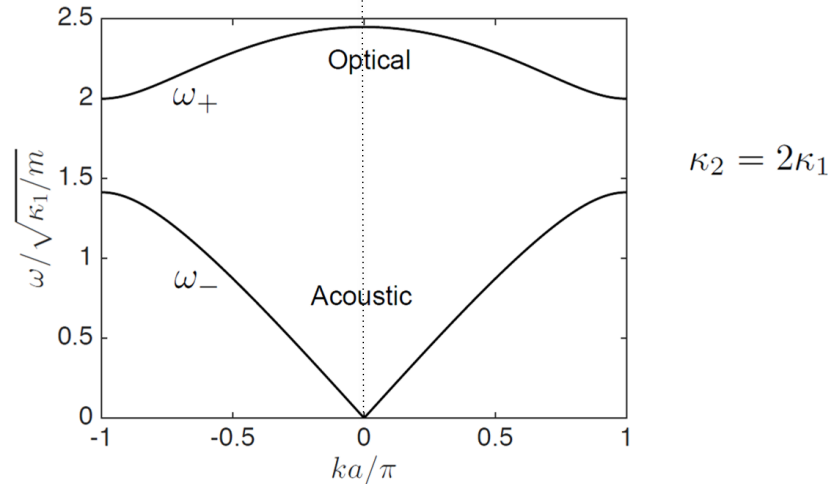




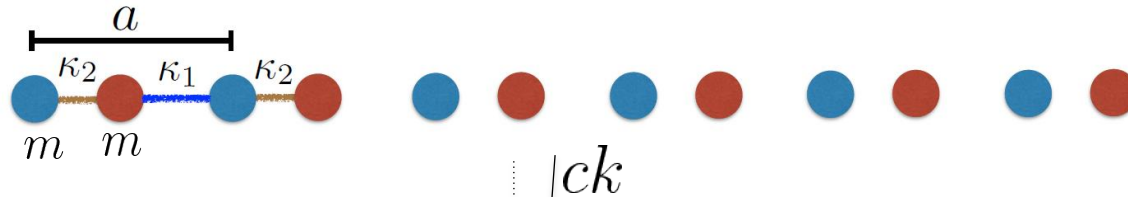
# Cadeia diatômica



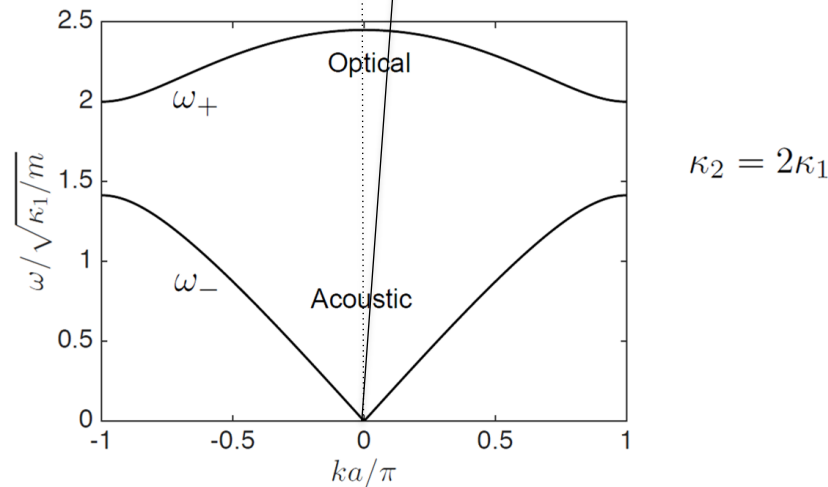
- O porquê dos nomes:



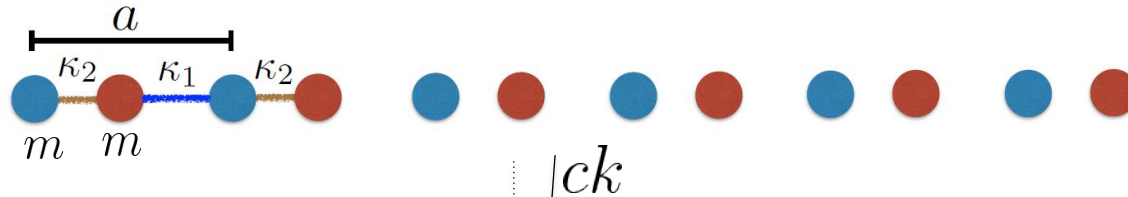
# Cadeia diatômica



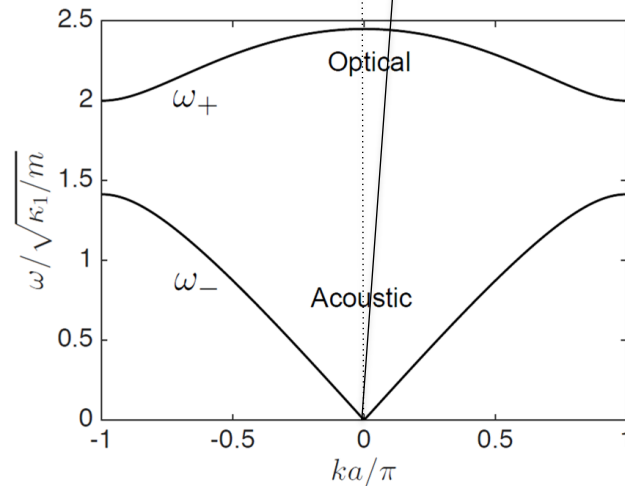
- O porquê dos nomes:



# Cadeia diatômica



- O porquê dos nomes:

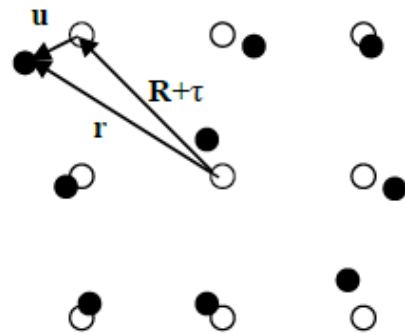


Fônons óticos tem energia da ordem  $\sim 10^2$  meV!

$$\kappa_2 = 2\kappa_1$$

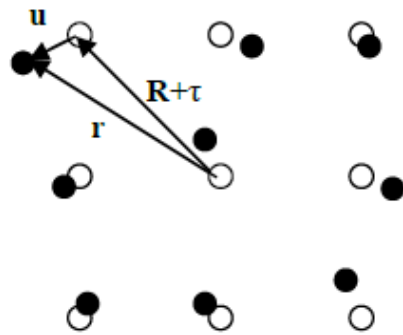
Como descrever um problema similar com N átomos?

$$\mathbf{u} \equiv \mathbf{u}_{\mathbf{R},\boldsymbol{\tau}}(t) = u_{\mathbf{R},\boldsymbol{\tau},x}(t)\hat{x} + u_{\mathbf{R},\boldsymbol{\tau},y}(t)\hat{y} + u_{\mathbf{R},\boldsymbol{\tau},z}(t)\hat{z}$$



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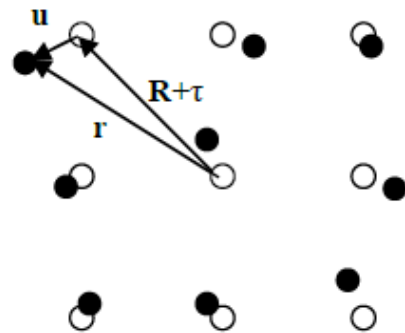
$$\mathbf{u}_{\mathbf{R},\tau}(t) = \frac{1}{\sqrt{M_\tau}} \sum_{\mathbf{k}} \hat{\boldsymbol{\epsilon}}_\tau(\mathbf{k}) e^{i[\mathbf{k}\cdot\mathbf{R} - \omega(\mathbf{k})t]}$$



$$\mathbf{q}_{\mathbf{k}}(t) = \frac{1}{\sqrt{M_\tau}} \hat{\boldsymbol{\epsilon}}_\tau(\mathbf{k}) e^{i[\mathbf{k}\cdot\mathbf{R} - \omega(\mathbf{k})t]}$$

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$$\mathbf{u}_{\mathbf{R},\tau}(t) = \frac{1}{\sqrt{M_\tau}} \sum_{\mathbf{k}} \hat{\boldsymbol{\epsilon}}_\tau(\mathbf{k}) e^{i[\mathbf{k} \cdot \mathbf{R} - \omega(\mathbf{k})t]} \quad \rightarrow \quad \mathbf{q}_{\mathbf{k}}(t) = \frac{1}{\sqrt{M_\tau}} \hat{\boldsymbol{\epsilon}}_\tau(\mathbf{k}) e^{i[\mathbf{k} \cdot \mathbf{R} - \omega(\mathbf{k})t]}$$

Notem que o uso de PBC nos leva nos mesmos vetores da rede recíproca do caso eletrônico, que, por sua vez, nos levam nos mesmos vetores  $\mathbf{k}$ 's da 1ª ZB.

$$\mathbf{u}_{\mathbf{R},\tau} = \mathbf{u}_{\mathbf{R} + N_i \mathbf{a}_i, \tau} \quad \rightarrow \quad \mathbf{k} = \frac{n_1}{N_1} \mathbf{b}_1 + \frac{n_2}{N_2} \mathbf{b}_2 + \frac{n_3}{N_3} \mathbf{b}_3$$

$$M_{\tau} \ddot{u}_{\mathbf{R},\tau,\alpha} = F_{\mathbf{R},\tau,\alpha} = -(\boldsymbol{\Phi} \cdot \mathbf{u})_{\mathbf{R},\tau,\alpha} = - \sum_{\mathbf{R}',\tau',\alpha'} \Phi_{\mathbf{R}\tau\alpha,\mathbf{R}'\tau'\alpha'} u_{\mathbf{R}',\tau',\alpha'}$$

$$M_{\tau} \ddot{u}_{\mathbf{R},\tau,\alpha} = F_{\mathbf{R},\tau,\alpha} = -(\boldsymbol{\Phi} \cdot \mathbf{u})_{\mathbf{R},\tau,\alpha} = - \sum_{\mathbf{R}',\tau',\alpha'} \Phi_{\mathbf{R}\tau\alpha,\mathbf{R}'\tau'\alpha'} u_{\mathbf{R}',\tau',\alpha'}$$

$$-M_{\tau} \omega^2 \frac{\varepsilon_{\tau,\alpha}(\mathbf{k}) e^{i\mathbf{k} \cdot \mathbf{R}}}{\sqrt{M_{\tau}}} = - \sum_{\mathbf{R}',\tau',\alpha'} \frac{\Phi_{\mathbf{R}\tau\alpha,\mathbf{R}'\tau'\alpha'} \varepsilon_{\tau'\alpha'}(\mathbf{k}) e^{i\mathbf{k} \cdot \mathbf{R}'}}{\sqrt{M_{\tau'}}} \quad \Rightarrow \quad \omega^2 \varepsilon_{\tau,\alpha}(\mathbf{k}) = \sum_{\tau',\alpha'} \left[ \sum_{\mathbf{R}'} \frac{\Phi_{\mathbf{R}\tau\alpha,\mathbf{R}'\tau'\alpha'} e^{-i\mathbf{k} \cdot (\mathbf{R}-\mathbf{R}')}}{\sqrt{M_{\tau} M_{\tau'}}} \right] \varepsilon_{\tau'\alpha'}(\mathbf{k})$$



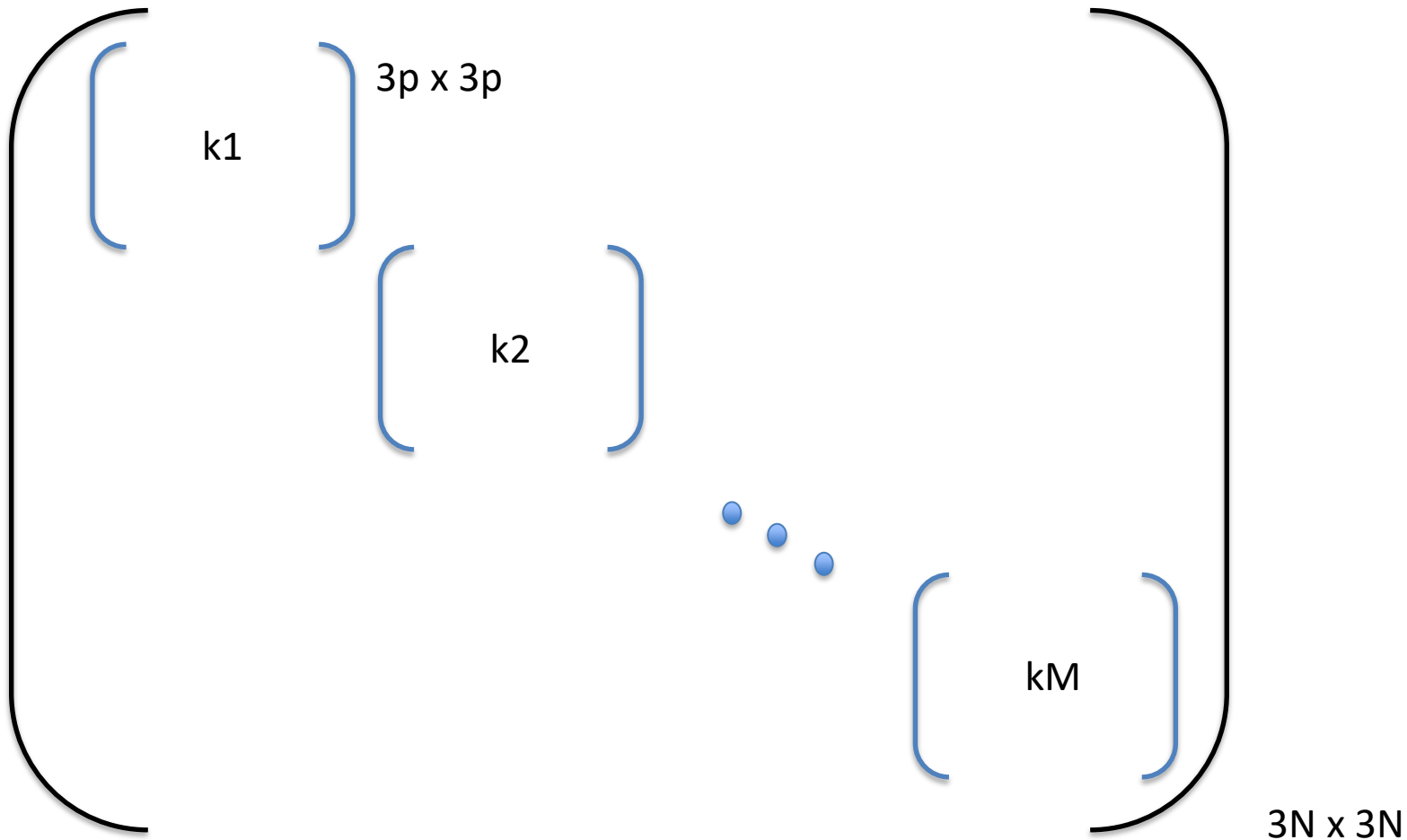
$$M_{\tau} \ddot{u}_{\mathbf{R},\tau,\alpha} = F_{\mathbf{R},\tau,\alpha} = -(\Phi \cdot \mathbf{u})_{\mathbf{R},\tau,\alpha} = - \sum_{\mathbf{R}',\tau',\alpha'} \Phi_{\mathbf{R}\tau\alpha,\mathbf{R}'\tau'\alpha'} u_{\mathbf{R}',\tau',\alpha'}$$

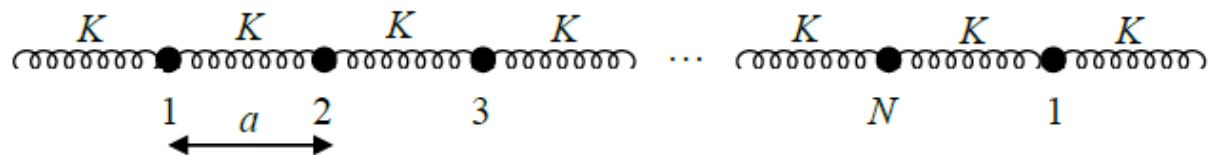
$$-M_{\tau} \omega^2 \frac{\varepsilon_{\tau,\alpha}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{R}}}{\sqrt{M_{\tau}}} = - \sum_{\mathbf{R}',\tau',\alpha'} \frac{\Phi_{\mathbf{R}\tau\alpha,\mathbf{R}'\tau'\alpha'} \varepsilon_{\tau'\alpha'}(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{R}'}}{\sqrt{M_{\tau'}}} \quad \Rightarrow \quad \omega^2 \varepsilon_{\tau,\alpha}(\mathbf{k}) = \sum_{\tau',\alpha'} \left[ \sum_{\mathbf{R}'} \frac{\Phi_{\mathbf{R}\tau\alpha,\mathbf{R}'\tau'\alpha'} e^{-i\mathbf{k}\cdot(\mathbf{R}-\mathbf{R}')}}{\sqrt{M_{\tau} M_{\tau'}}} \right] \varepsilon_{\tau'\alpha'}(\mathbf{k})$$

$$\omega^2 \varepsilon_i(\mathbf{k}) = \sum_j \left[ \sum_{\mathbf{R}'} \frac{\Phi_{\mathbf{R}i,\mathbf{R}'j} e^{-i\mathbf{k}\cdot(\mathbf{R}-\mathbf{R}')}}{\sqrt{M_i M_j}} \right] \varepsilon_j(\mathbf{k}) \quad \left\{ \begin{array}{l} \omega^2 \hat{\boldsymbol{\varepsilon}}(\mathbf{k}) = \mathbf{D}(\mathbf{k}) \cdot \hat{\boldsymbol{\varepsilon}}(\mathbf{k}) \\ D_{ij}(\mathbf{k}) = \frac{1}{\sqrt{M_i M_j}} \sum_{\mathbf{R}'} \Phi_{\mathbf{R}i,\mathbf{R}'j} e^{-i\mathbf{k}\cdot(\mathbf{R}-\mathbf{R}')} \end{array} \right.$$

$\mathbf{D}(\mathbf{k}) \rightarrow$  matriz dinâmica

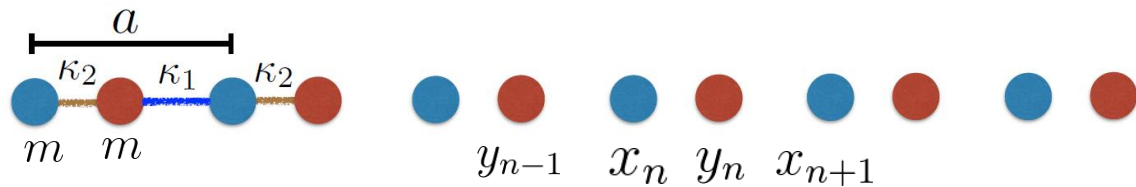
$i \equiv (\tau, \alpha)$



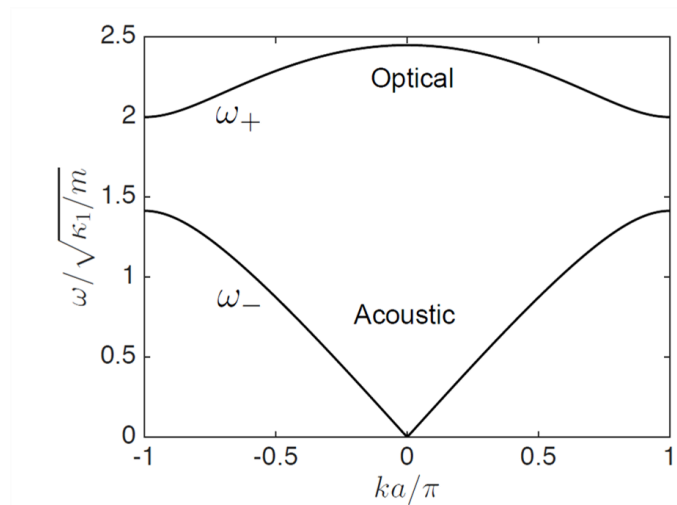


$$\Phi = \begin{bmatrix} 2K & -K & 0 & 0 & \dots & 0 & -K \\ -K & 2K & -K & 0 & \dots & 0 & 0 \\ 0 & -K & 2K & -K & \dots & 0 & 0 \\ 0 & 0 & -K & 2K & \dots & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \ddots & -K & 0 \\ 0 & 0 & 0 & 0 & 0 & 2K & -K \\ -K & 0 & 0 & 0 & 0 & -K & 2K \end{bmatrix} .$$

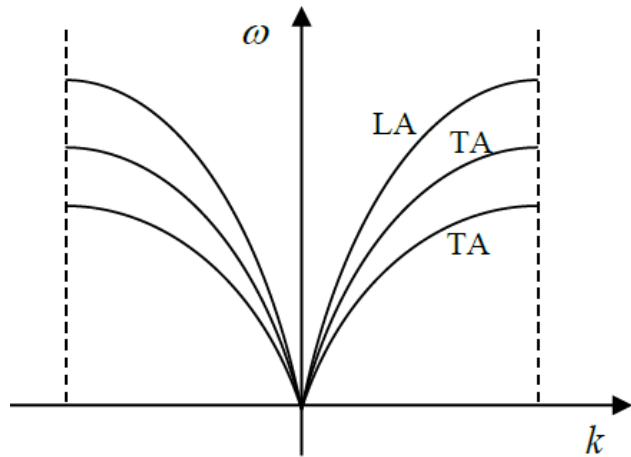
$$D(k) = \frac{4K}{M} \text{sen}^2 \left( \frac{ka}{2} \right)$$



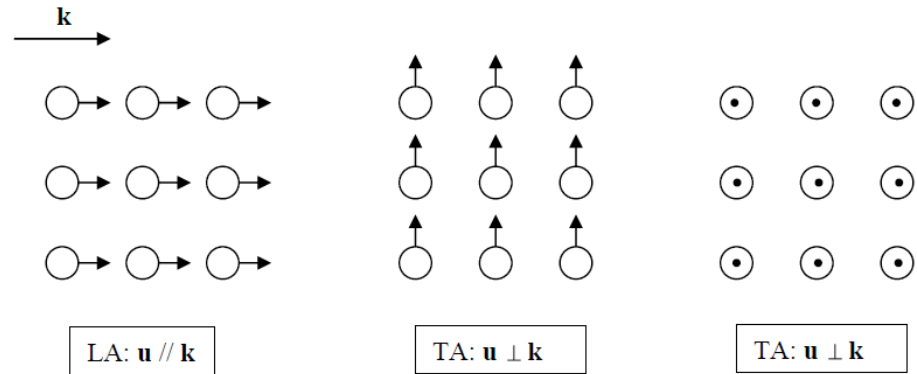
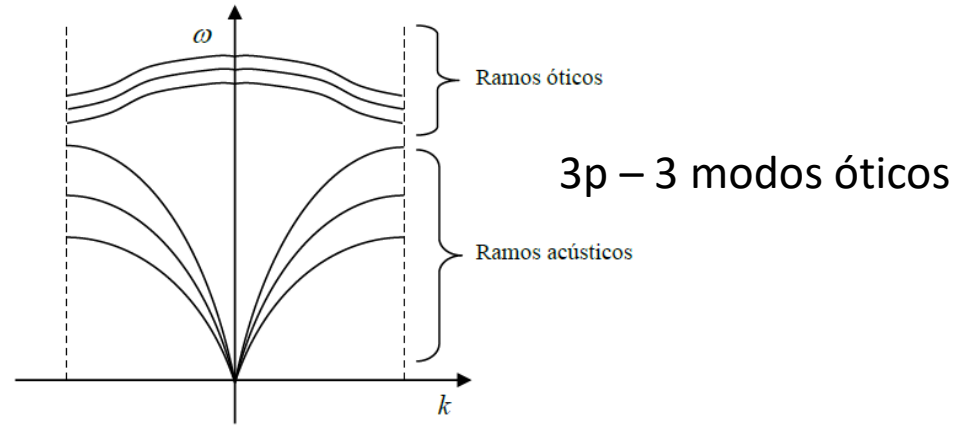
$$D(k) = \frac{1}{m} \begin{pmatrix} \kappa_1 + \kappa_2 & -\kappa_2 - \kappa_1 e^{ika} \\ -\kappa_2 - \kappa_1 e^{-ika} & \kappa_1 + \kappa_2 \end{pmatrix}$$



# Vibrações em 3D



APENAS 3 modos longitudinais



# Calor específico

# Calor específico

Contribuição térmica

$$E = \frac{\int d\Gamma \mathcal{H} e^{-\beta\mathcal{H}}}{\int d\Gamma e^{-\beta\mathcal{H}}}$$

com

$$d\Gamma = \prod_{m,\mu} d\mathbf{u}(m, \mu) d\mathbf{P}(m, \mu)$$

# Calor específico

Contribuição térmica

$$E = \frac{\int d\Gamma \mathcal{H} e^{-\beta \mathcal{H}}}{\int d\Gamma e^{-\beta \mathcal{H}}} \quad \text{com}$$

$$d\Gamma = \prod_{m, \mu} d\mathbf{u}(m, \mu) d\mathbf{P}(m, \mu)$$

Mudando para modos normais, temos

$$E = \sum_{\mathbf{q}, \lambda} \frac{\int d\Gamma_{\lambda}(\mathbf{q}) \mathcal{H}_{\lambda}(\mathbf{q}) e^{-\beta \mathcal{H}_{\lambda}(\mathbf{q})}}{\int d\Gamma_{\lambda}(\mathbf{q}) e^{-\beta \mathcal{H}_{\lambda}(\mathbf{q})}}$$

$$\text{com} \left\{ \begin{array}{l} d\Gamma = \prod_{\mathbf{q}, \lambda} d|Q_{\lambda}(\mathbf{q})| d|P_{\lambda}(\mathbf{q})|. \\ \mathcal{H}_{\lambda}(\mathbf{q}) = \frac{1}{2} \{ |P_{\lambda}(\mathbf{q})|^2 + \omega_{\lambda}^2(\mathbf{q}) |Q_{\lambda}(\mathbf{q})|^2 \} \end{array} \right.$$



# Calor específico

Contribuição térmica

$$E = \frac{\int d\Gamma \mathcal{H} e^{-\beta \mathcal{H}}}{\int d\Gamma e^{-\beta \mathcal{H}}} \quad \text{com}$$

$$d\Gamma = \prod_{m, \mu} d\mathbf{u}(m, \mu) d\mathbf{P}(m, \mu)$$

Mudando para modos normais, temos

$$E = \sum_{\mathbf{q}, \lambda} \frac{\int d\Gamma_{\lambda}(\mathbf{q}) \mathcal{H}_{\lambda}(\mathbf{q}) e^{-\beta \mathcal{H}_{\lambda}(\mathbf{q})}}{\int d\Gamma_{\lambda}(\mathbf{q}) e^{-\beta \mathcal{H}_{\lambda}(\mathbf{q})}}$$

$$\text{com} \left\{ \begin{array}{l} d\Gamma = \prod_{\mathbf{q}, \lambda} d|Q_{\lambda}(\mathbf{q})| d|P_{\lambda}(\mathbf{q})|. \\ \mathcal{H}_{\lambda}(\mathbf{q}) = \frac{1}{2} \{ |P_{\lambda}(\mathbf{q})|^2 + \omega_{\lambda}^2(\mathbf{q}) |Q_{\lambda}(\mathbf{q})|^2 \} \end{array} \right.$$



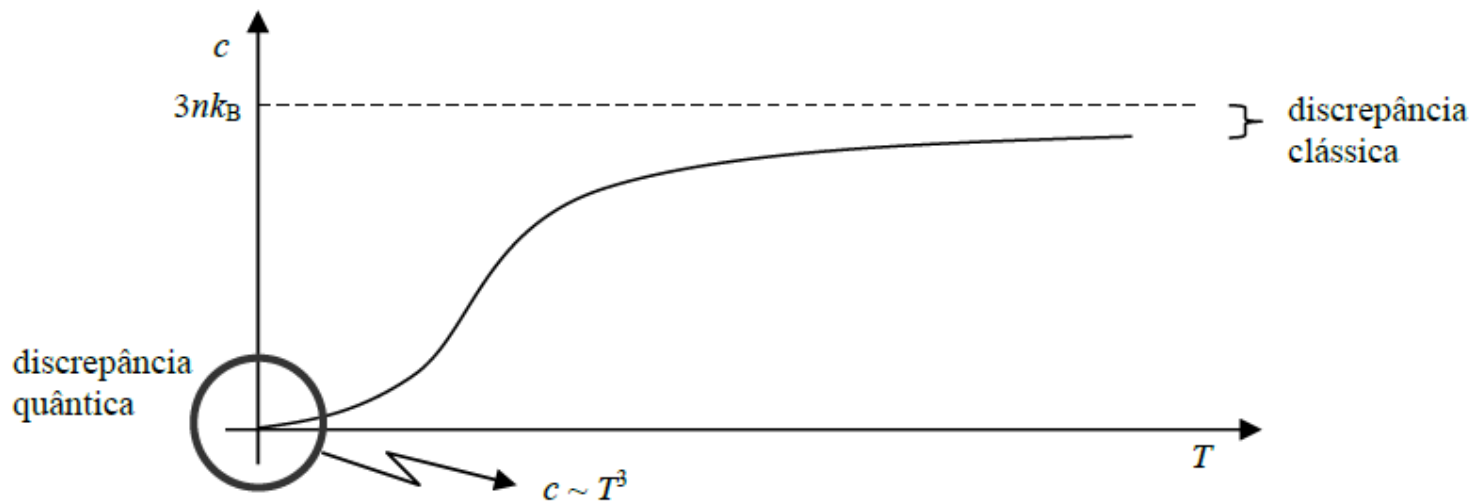
$$E = 3Np k_{\text{B}} T.$$



$$C_V = \frac{\partial E}{\partial T} = 3Np k_{\text{B}}$$

Lei de Dulong e Petit.

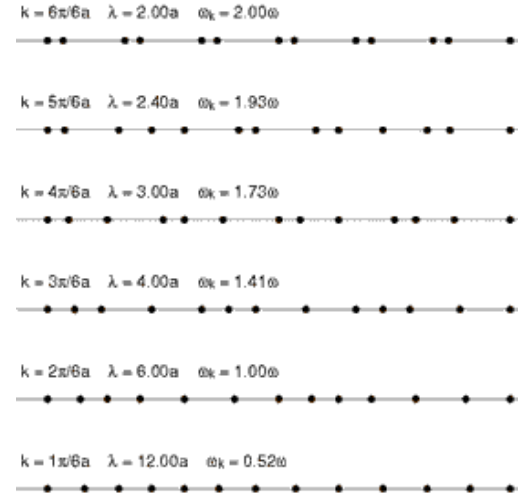
# Calor específico



# Fônons

- Quantizando o hamiltoniano clássico

$$\hat{H} = \sum_k \hbar \omega_k \left( a_k^\dagger a_k + \frac{1}{2} \right)$$

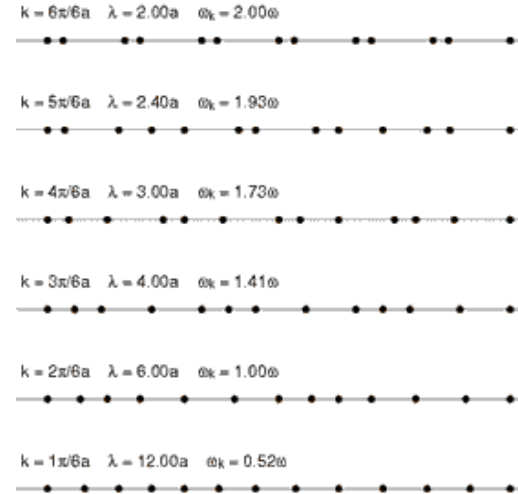


# Fônons

- Quantizando o hamiltoniano clássico

$$\hat{H} = \sum_k \hbar\omega_k \left( a_k^\dagger a_k + \frac{1}{2} \right)$$

$$E_n^{(k)} = \hbar\omega_k \left( n + \frac{1}{2} \right)$$



Probabilidade de encontrarmos um fônon com uma energia  $E_n$ :

$$p(n_{\mathbf{k}s}) = \frac{e^{-\beta E_{n_{\mathbf{k}s}}}}{\sum_{n_{\mathbf{k}s}} e^{-\beta E_{n_{\mathbf{k}s}}}}$$

Probabilidade de encontrarmos um fônon com uma energia  $E_n$ :

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Logo, o valor médio de fônons é

$$\langle n_{\mathbf{k}s} \rangle = \frac{\sum_{n_{\mathbf{k}s}} n_{\mathbf{k}s} e^{-\beta E_{n_{\mathbf{k}s}}}}{\sum_{n_{\mathbf{k}s}} e^{-\beta E_{n_{\mathbf{k}s}}}} = \frac{\sum_{n_{\mathbf{k}s}} n_{\mathbf{k}s} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})}}{\sum_{n_{\mathbf{k}s}} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})}}$$

Probabilidade de encontrarmos um fônon com uma energia  $E_n$ :

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$$\langle n_{\mathbf{k}s} \rangle = -\frac{1}{\beta \hbar} \frac{\partial}{\partial \omega_{\mathbf{k}s}} \ln \left[ \sum_{n_{\mathbf{k}s}} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})} \right]$$

Probabilidade de encontrarmos um fônon com uma energia  $E_n$ :

$$p(n_{\mathbf{k}s}) = \frac{e^{-\beta E_{n_{\mathbf{k}s}}}}{\sum_{n_{\mathbf{k}s}} e^{-\beta E_{n_{\mathbf{k}s}}}}$$

Logo, o valor médio de fônons é

$$\langle n_{\mathbf{k}s} \rangle = \frac{\sum_{n_{\mathbf{k}s}} n_{\mathbf{k}s} e^{-\beta E_{n_{\mathbf{k}s}}}}{\sum_{n_{\mathbf{k}s}} e^{-\beta E_{n_{\mathbf{k}s}}}} = \frac{\sum_{n_{\mathbf{k}s}} n_{\mathbf{k}s} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})}}{\sum_{n_{\mathbf{k}s}} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})}}$$

$$\langle n_{\mathbf{k}s} \rangle = -\frac{1}{\beta \hbar} \frac{\partial}{\partial \omega_{\mathbf{k}s}} \ln \left[ \sum_{n_{\mathbf{k}s}} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})} \right]$$

$$\langle n_{\mathbf{k}s} \rangle = \frac{1}{e^{\beta \hbar \omega_s(\mathbf{k})} - 1}$$



Probabilidade de encontrarmos um fônon com uma energia  $E_n$ :

$$p(n_{\mathbf{k}s}) = \frac{e^{-\beta E_{n_{\mathbf{k}s}}}}{\sum_{n_{\mathbf{k}s}} e^{-\beta E_{n_{\mathbf{k}s}}}}$$

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$$\langle n_{\mathbf{k}s} \rangle = \frac{\sum_{n_{\mathbf{k}s}} n_{\mathbf{k}s} e^{-\beta E_{n_{\mathbf{k}s}}}}{\sum_{n_{\mathbf{k}s}} e^{-\beta E_{n_{\mathbf{k}s}}}} = \frac{\sum_{n_{\mathbf{k}s}} n_{\mathbf{k}s} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})}}{\sum_{n_{\mathbf{k}s}} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})}}$$

$$\langle n_{\mathbf{k}s} \rangle = -\frac{1}{\beta \hbar} \frac{\partial}{\partial \omega_{\mathbf{k}s}} \ln \left[ \sum_{n_{\mathbf{k}s}} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})} \right]$$

$$\langle n_{\mathbf{k}s} \rangle = \frac{1}{e^{\beta \hbar \omega_s(\mathbf{k})} - 1}$$



$$u = u_0 + \frac{1}{V} \sum_{\mathbf{k}s} \frac{1}{2} \hbar \omega_s(\mathbf{k}) + \frac{1}{V} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{e^{\beta \hbar \omega_s(\mathbf{k})} - 1}$$

Probabilidade de encontrarmos um fônon com uma energia  $E_n$ :

$$p(n_{\mathbf{k}s}) = \frac{e^{-\beta E_{n_{\mathbf{k}s}}}}{\sum_{n_{\mathbf{k}s}} e^{-\beta E_{n_{\mathbf{k}s}}}}$$

Logo, o valor médio de fônons é

$$\langle n_{\mathbf{k}s} \rangle = \frac{\sum_{n_{\mathbf{k}s}} n_{\mathbf{k}s} e^{-\beta E_{n_{\mathbf{k}s}}}}{\sum_{n_{\mathbf{k}s}} e^{-\beta E_{n_{\mathbf{k}s}}}} = \frac{\sum_{n_{\mathbf{k}s}} n_{\mathbf{k}s} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})}}{\sum_{n_{\mathbf{k}s}} e^{-\beta n_{\mathbf{k}s} \hbar \omega_s(\mathbf{k})}}$$

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$$u = u_0 + \frac{1}{V} \sum_{\mathbf{k}s} \frac{1}{2} \hbar \omega_s(\mathbf{k}) + \frac{1}{V} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{e^{\beta \hbar \omega_s(\mathbf{k})} - 1}$$

$$c = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{e^{\beta \hbar \omega_s(\mathbf{k})} - 1}$$

Limite de altas temperaturas:

$$C = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{\beta \hbar \omega_s(\mathbf{k})} = \frac{1}{V} \sum_{\mathbf{k}s} k_B$$
$$= \frac{3N}{V} k_B = 3nk_B$$

OK! Reproduz o  
limite clássico de  
Dulong e Petit.

Limite de altas temperaturas:

$$C = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{\beta \hbar \omega_s(\mathbf{k})} = \frac{1}{V} \sum_{\mathbf{k}s} k_B$$
$$= \frac{3N}{V} k_B = 3nk_B$$

OK! Reproduz o  
limite clássico de  
Dulong e Petit.

Limite de baixas temperaturas: Modelo de Einstein

$$\omega_s(\mathbf{k}) = \omega_E$$



Fônons puramente óticos

Limite de altas temperaturas:

$$C = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{\beta \hbar \omega_s(\mathbf{k})} = \frac{1}{V} \sum_{\mathbf{k}s} k_B$$
$$= \frac{3N}{V} k_B = 3nk_B$$

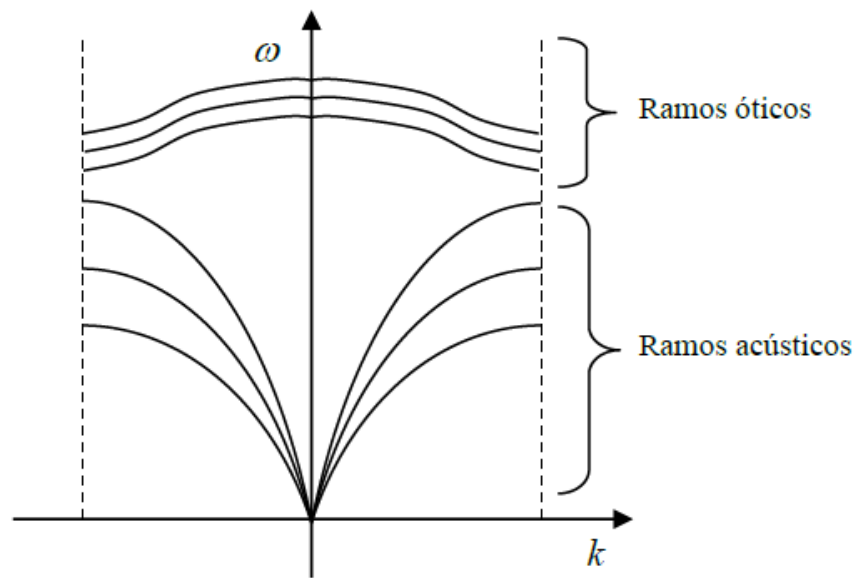
**OK! Reproduz o limite clássico de Dulong e Petit.**

Limite de baixas temperaturas: Modelo de Einstein

$$\omega_s(\mathbf{k}) = \omega_E$$



Fônons puramente óticos



Limite de altas temperaturas:

$$c = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{\beta \hbar \omega_s(\mathbf{k})} = \frac{1}{V} \sum_{\mathbf{k}s} k_B$$
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OK! Reproduz o  
limite clássico de  
Dulong e Petit.

Limite de baixas temperaturas: Modelo de Einstein

$$\omega_s(\mathbf{k}) = \omega_E$$



Fônons puramente óticos

$$c = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_E}{e^{\beta \hbar \omega_E} - 1} = 3n \frac{\partial}{\partial T} \left[ \frac{\hbar \omega_E}{e^{\hbar \omega_E / k_B T} - 1} \right]$$

Limite de altas temperaturas:

$$c = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{\beta \hbar \omega_s(\mathbf{k})} = \frac{1}{V} \sum_{\mathbf{k}s} k_B$$
$$= \frac{3N}{V} k_B = 3nk_B$$

OK! Reproduz o  
limite clássico de  
Dulong e Petit.

Limite de baixas temperaturas: Modelo de Einstein

$$\omega_s(\mathbf{k}) = \omega_E$$



Fônons puramente óticos

$$c = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_E}{e^{\beta \hbar \omega_E} - 1} = 3n \frac{\partial}{\partial T} \left[ \frac{\hbar \omega_E}{e^{\hbar \omega_E / k_B T} - 1} \right]$$
$$= \frac{3n \hbar \omega_E e^{\hbar \omega_E / k_B T} (\hbar \omega_E / k_B T^2)}{(e^{\hbar \omega_E / k_B T} - 1)^2}$$
$$= 3nk_B \frac{e^{\hbar \omega_E / k_B T} (\hbar \omega_E / k_B T)^2}{(e^{\hbar \omega_E / k_B T} - 1)^2}$$

$$c \approx e^{-\hbar \omega_E / k_B T}$$

Limite de altas temperaturas:

$$c = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}s} \frac{\hbar \omega_s(\mathbf{k})}{\beta \hbar \omega_s(\mathbf{k})} = \frac{1}{V} \sum_{\mathbf{k}s} k_B$$
$$= \frac{3N}{V} k_B = 3nk_B$$

OK! Reproduz o  
limite clássico de  
Dulong e Petit.

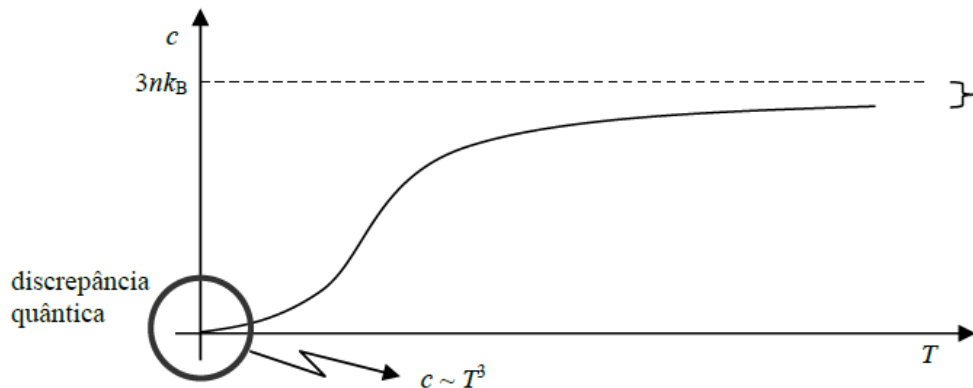
Limite de baixas temperaturas: Modelo de Einstein

$$\omega_s(\mathbf{k}) = \omega_E$$

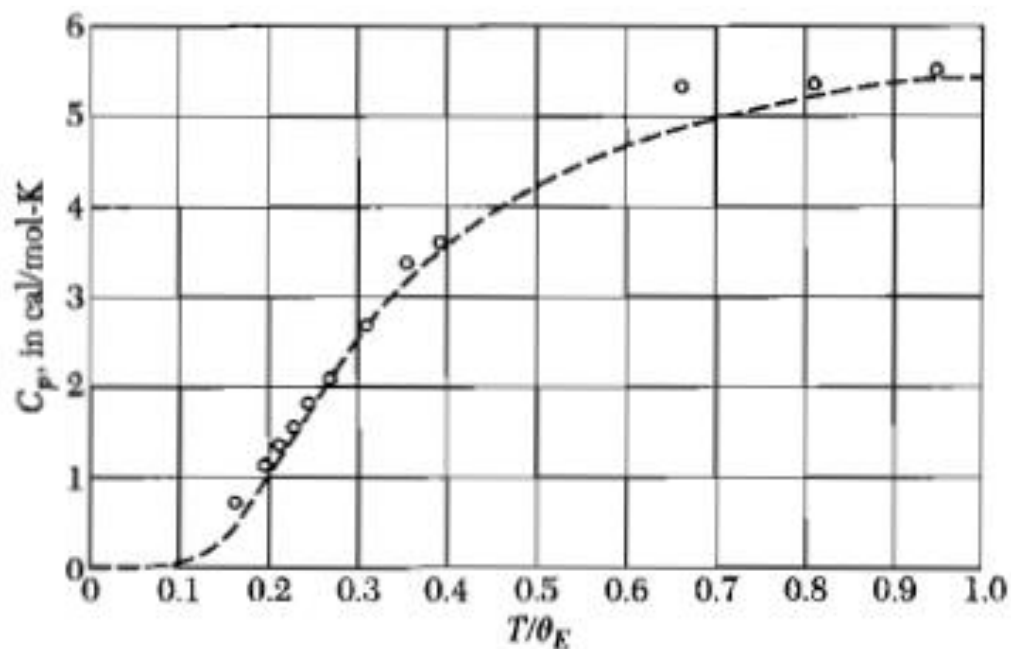


Fônons puramente óticos

$$c \approx e^{-\hbar \omega_E / k_B T}$$







**Figure 11** Comparison of experimental values of the heat capacity of diamond with values calculated on the earliest quantum (Einstein) model, using the characteristic temperature  $\theta_E = \hbar\omega/k_B = 1320$  K. To convert to J/mol-deg, multiply by 4.186.

Limite de baixas temperaturas: Modelo de Debye

$$\omega_s(\mathbf{k}) = ck$$

## Limite de baixas temperaturas: Modelo de Debye

$$c = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}_s} \frac{\hbar c k}{e^{\beta \hbar c k} - 1} = \frac{3}{V} \frac{\partial}{\partial T} \frac{V}{(2\pi)^3} \int_0^{k_D} 4\pi k^2 \frac{\hbar c k}{e^{\beta \hbar c k} - 1} dk$$

$$\omega_s(\mathbf{k}) = ck$$

$$\frac{4}{3} \pi k_D^3 = N_{cel} \frac{(2\pi)^3}{V} \Rightarrow k_D = \left( \frac{6N_{cel} \pi^2}{V} \right)^{1/3}$$

Limite de baixas temperaturas: Modelo de Debye

$$\omega_s(\mathbf{k}) = ck$$

$$c = \frac{1}{V} \frac{\partial}{\partial T} \sum_{\mathbf{k}_s} \frac{\hbar ck}{e^{\beta \hbar ck} - 1} = \frac{1}{V} \frac{\partial}{\partial T} \frac{V}{(2\pi)^3} \int_0^{k_D} 4\pi k^2 \frac{\hbar ck}{e^{\beta \hbar ck} - 1} dk$$

$$\frac{4}{3} \pi k_D^3 = N_{cel} \frac{(2\pi)^3}{V} \Rightarrow k_D = \left( \frac{6N_{cel} \pi^2}{V} \right)^{1/3}$$

$$c = \frac{1}{V} \frac{\partial}{\partial T} \frac{V}{(2\pi)^3} 4\pi \int_0^{k_D} \frac{\hbar ck^3}{e^{\beta \hbar ck} - 1} dk = \frac{1\hbar c}{2\pi^2} \frac{\partial}{\partial T} \int_0^{k_D} \frac{k^3}{e^{\beta \hbar ck} - 1} dk$$

$$= \frac{1\hbar c}{2\pi^2} \int_0^{k_D} \frac{k^3 e^{\beta \hbar ck}}{(e^{\beta \hbar ck} - 1)^2} \frac{\hbar ck}{k_B T^2} dk$$

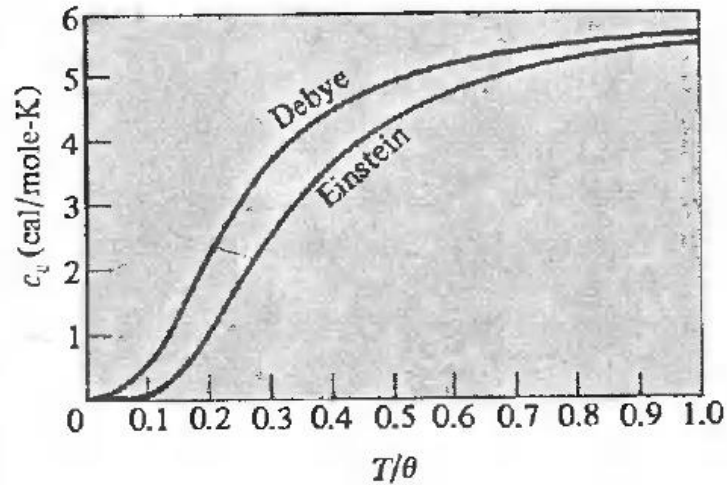
$$x = \frac{\hbar ck}{k_B T}$$

$$\Theta_D = \frac{\hbar ck_D}{k_B}$$

$$c = \frac{1\hbar c}{2\pi^2} \int_0^{\Theta_D/T} \frac{k_B}{\hbar c} \left( \frac{k_B T}{\hbar c} \right)^3 \frac{x^4 e^x}{(e^x - 1)^2} dx$$

$$c = 9nk_B \left( \frac{T}{\Theta_D} \right)^3 \int_0^\infty \frac{x^4 e^x}{(e^x - 1)^2} dx$$

$$c = \frac{12\pi^4}{5} nk_B \left( \frac{T}{\Theta_D} \right)^3$$



$$c = 9nk_B \left( \frac{T}{\Theta_D} \right)^3 \int_0^\infty \frac{x^4 e^x}{(e^x - 1)^2} dx$$

$$c = \frac{12\pi^4}{5} nk_B \left( \frac{T}{\Theta_D} \right)^3$$

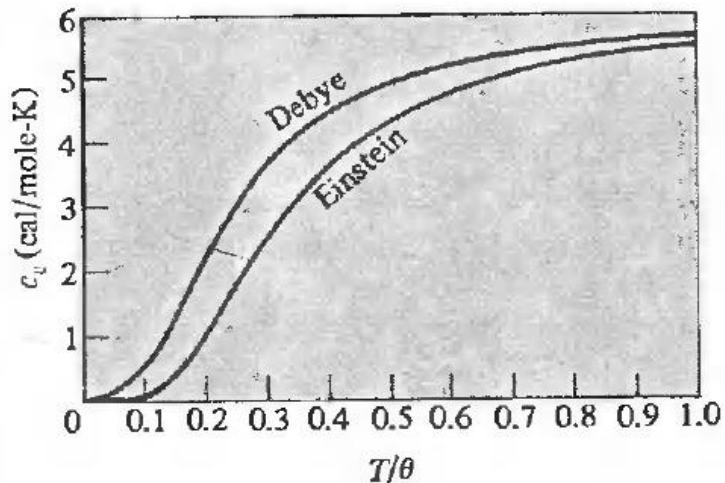


Table 23.2

TEMPERATURE DEPENDENCE OF THE DEBYE SPECIFIC HEAT<sup>a</sup>

$T/\Theta_D$	$c_v/3nk_B$	$T/\Theta_D$	$c_v/3nk_B$	$T/\Theta_D$	$c_v/3nk_B$
0.00	0	0.35	0.687	0.70	0.905
0.05	0.00974	0.40	0.746	0.75	0.917
0.10	0.0758	0.45	0.791	0.80	0.926
0.15	0.213	0.50	0.825	0.85	0.934
0.20	0.369	0.55	0.852	0.90	0.941
0.25	0.503	0.60	0.874	0.95	0.947
0.30	0.608	0.65	0.891	1.00	0.952

<sup>a</sup> The table entries are the ratios of the Debye to the Dulong-Petit specific heats, that is,  $c_v/3nk_B$ , with  $c_v$  given by (23.26).

Source: J. de Launay, *Solid State Physics*, vol. 2, F. Seitz and D. Turnbull, eds., Academic Press, New York, 1956.

Table 23.3  
 DEBYE TEMPERATURES FOR SELECTED ELEMENTS<sup>a</sup>

ELEMENT	$\Theta_D$ (K)	ELEMENT	$\Theta_D$ (K)
Li	400	A	85
Na	150	Ne	63
K	100		
		Cu	315
Be	1000	Ag	215
Mg	318	Au	170
Ca	230		
		Zn	234
B	1250	Cd	120
Al	394	Hg	100
Ga	240		
In	129	Cr	460
Tl	96	Mo	380
		W	310

C (diamond)	1860	Mn	400
Si	625	Fe	420
Ge	360	Co	385
Sn (grey)	260	Ni	375
Sn (white)	170	Pd	275
Pb	88	Pt	230
As	285	La	132
Sb	200	Gd	152
Bi	120	Pr	74

<sup>a</sup> The temperatures were determined by fitting the observed specific heats  $c_v$  to the Debye formula (23.26) at the point where  $c_v = 3nk_B/2$ . Source: J. de Launay, *Solid State Physics*, vol. 2, F. Seitz and D. Turnbull, eds., Academic Press, New York, 1956.

# Momento Cristalino

$$\mathbf{u}_k(\mathbf{R}, t) = \frac{1}{\sqrt{M}} \hat{\boldsymbol{\varepsilon}}(\mathbf{k}) e^{i(\mathbf{k} \cdot \mathbf{R} - \omega t)}$$

$$\mathbf{P}_{tot} = M \frac{d}{dt} \sum_{\mathbf{R}} \mathbf{u}_k(\mathbf{R}, t) = -i\omega \sqrt{M} e^{-i\omega t} \hat{\boldsymbol{\varepsilon}} \sum_{\mathbf{R}} e^{i\mathbf{k} \cdot \mathbf{R}}$$

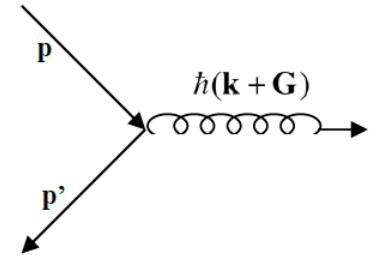
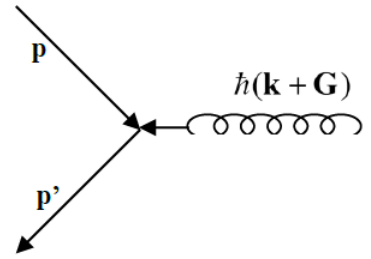
$$= \begin{cases} 0, & \text{se } \mathbf{k} \neq 0 \\ N, & \text{se } \mathbf{k} = 0 \end{cases}$$

$$\mathbf{p}' - \mathbf{p} = \mp \hbar(\mathbf{k} + \mathbf{G})$$

$$E' - E = \mp \hbar \omega_s(\mathbf{k})$$

$$\hbar(\mathbf{q}' - \mathbf{q}) = \mp \hbar \mathbf{G} \Rightarrow \Delta \mathbf{q} = \mathbf{G}$$

Espalhamento elástico satisfaz a condição de von Laue



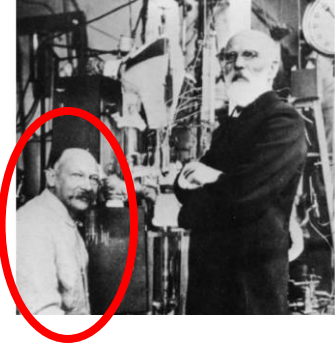


# Acoplamiento Elétron-ión

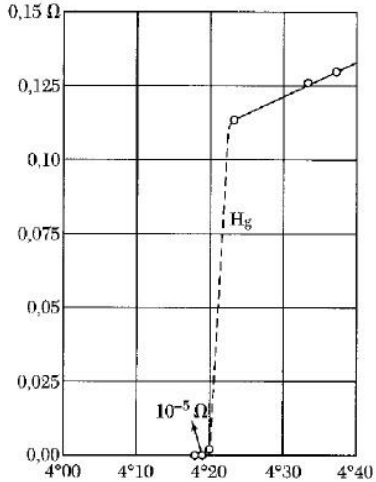
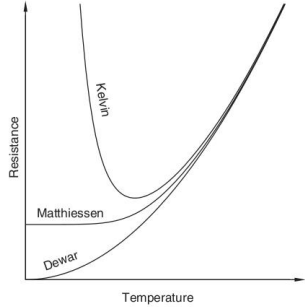
$$\hat{H} = -\frac{\hbar^2}{2m_e} \sum_i \nabla_i^2 - \sum_{i,I} \frac{Z_I e^2}{|\mathbf{r}_i - \mathbf{R}_I|} + \frac{1}{2} \sum_{i \neq j} \frac{e^2}{|\mathbf{r}_i - \mathbf{r}_j|} \\ - \sum_I \frac{\hbar^2}{2M_I} \nabla_I^2 + \frac{1}{2} \sum_{I \neq J} \frac{Z_I Z_J e^2}{|\mathbf{R}_I - \mathbf{R}_J|},$$

# Electron-phonon Interaction

## Conventional Superconductivity



Heike Kamerlingh Onnes



$T_c \propto M^{-\alpha}$

Vibrações da rede cristalina (fônons)

### Theory of Superconductivity\*

J. BARDEEN, L. N. COOPER,† and J. R. SCHRIEFFER‡  
 Department of Physics, University of Illinois, Urbana, Illinois  
 (Received July 8, 1957)

A theory of superconductivity is presented, based on the fact that the interaction between electrons resulting from virtual exchange of phonons is attractive in the case of electrons less than the Fermi energy. This attractive interaction is described in terms of individual pairs of electrons in which the total momentum is conserved. The theory is shown to be in agreement with the experimental facts in the case of lead, tin, and mercury. Calculated values of the critical temperature are in good agreement with the experimental values. There is a small expansion of the lattice in the superconducting state.



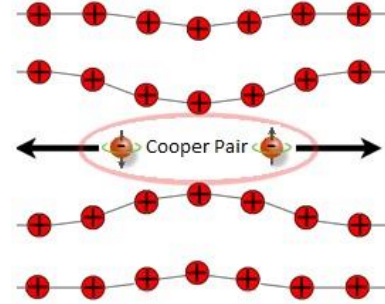
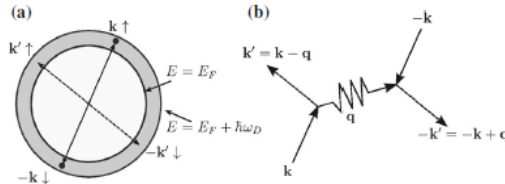
John Bardeen



Leon Neil Cooper



John Robert Schrieffer



$$V_{\text{eff}}^0(\mathbf{q}, \omega) = W(\mathbf{q}) \frac{\omega^2}{\omega^2 - \Omega^2 + i\tilde{\eta}}$$

# Introduction

## Peierls Instability



The standard explanation for charge-density wave (CDW) formation

“Recipe” for CDW ...

- One-dimensional system;
- Fermi Surface Nesting (FSN);
- Create an electronic instability (or a lattice distortion)

# Introduction

## Peierls Instability



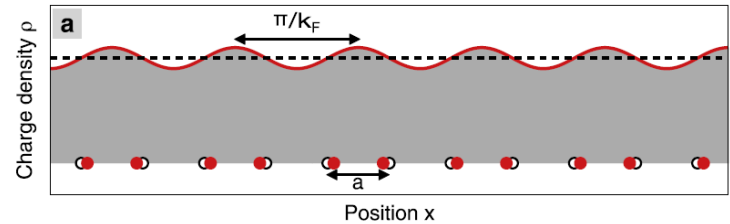
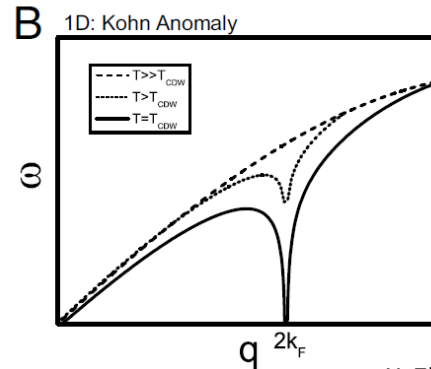
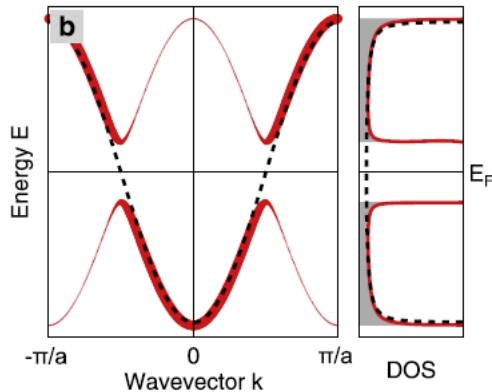
The standard explanation for charge-density wave (CDW) formation

“Recipe” for CDW ...



- One-dimensional system;
- Fermi Surface Nesting (FSN);
- Create an electronic instability (or a lattice distortion)

- Charge gap at Fermi level (metal-insulator transition);
- Phonon softening at  $\mathbf{q}=2\mathbf{k}_F$ ;
- Permanent lattice distortion.



# Introduction

## Peierls Instability

Linear Response Theory

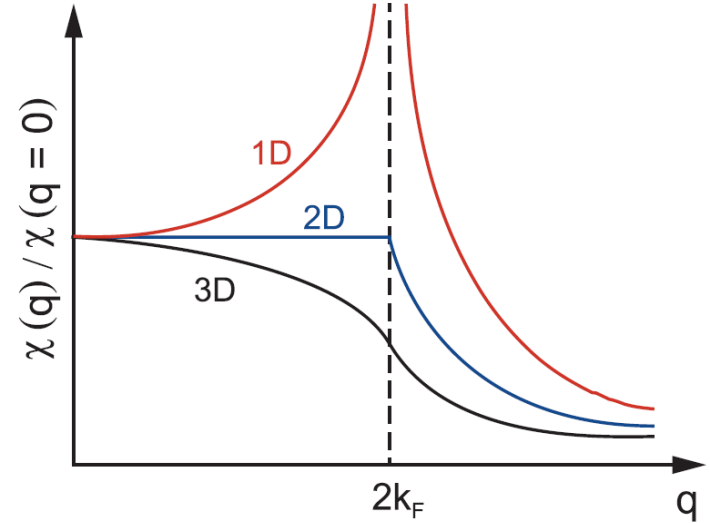
$$\rho^{\text{ind}}(\vec{r}, \omega) = e^2 \int d\vec{r}' \chi(\vec{r}, \vec{r}', \omega) \Phi^{\text{tot}}(\vec{r}', \omega)$$

Fermi  
gas

$$\chi'(\mathbf{q}) = \sum_{\mathbf{k}} \frac{f(\epsilon_{\mathbf{k}}) - f(\epsilon_{\mathbf{k}+\mathbf{q}})}{\epsilon_{\mathbf{k}} - \epsilon_{\mathbf{k}+\mathbf{q}}},$$

Electronic  
susceptibility  
 $\chi$

$$\lim_{\omega \rightarrow 0} \chi''(\mathbf{q}, \omega) / \omega = \sum_{\mathbf{k}} \delta(\epsilon_{\mathbf{k}} - \epsilon_F) \delta(\epsilon_{\mathbf{k}+\mathbf{q}} - \epsilon_F)$$



One-dimensional systems are highly susceptible at  $q=2k_F$

$$\mathbf{1D}: \text{Re}\chi_0 \propto -\frac{1}{2q} \ln \left| \frac{1+q/2}{1-q/2} \right|$$

$$\mathbf{2D}: \text{Re}\chi_0 \propto \begin{cases} -(1 - \sqrt{1 - (2/q)^2}), & q \geq 2k_F \\ -1/E_F, & q < 2k_F \end{cases}$$

$$\mathbf{3D}: \text{Re}\chi_0 \propto -\left[ 1 + \frac{1 - (q/2)^2}{q} \ln \left| \frac{1+q/2}{1-q/2} \right| \right]$$

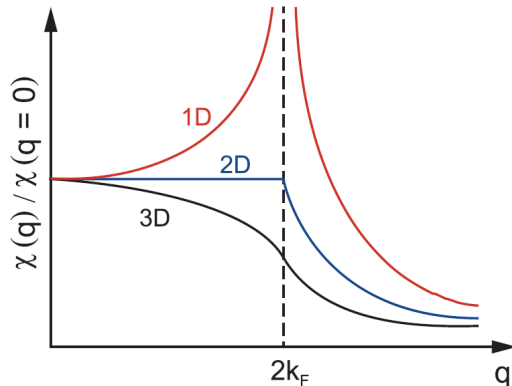
# Introduction

## Peierls Instability

$$\phi^{\text{ind}}(\mathbf{q}) = g\rho^{\text{ind}}(\mathbf{q})$$

$$\rho^{\text{ind}}(\mathbf{q}) = \chi_0(\mathbf{q})\phi(\mathbf{q}) = \chi_0(\mathbf{q}) \left[ \phi^{\text{ext}}(\mathbf{q}) + \phi^{\text{ind}}(\mathbf{q}) \right]$$

$$\rho^{\text{ind}}(\mathbf{q}, T) = \frac{\chi_0(\mathbf{q}, T)\phi^{\text{ext}}(\mathbf{q})}{1 - g\chi_0(\mathbf{q}, T)} \quad 1 - g\chi_0(\mathbf{q}, T) = 0$$



**For ideal  
1D systems  
\*any\* Electron-  
Phonon Coupling  
leads to CDW!**

Fröhlich  
Hamiltonian

$$H_{\text{PI}} = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} a_{\mathbf{k}}^+ a_{\mathbf{k}} + \sum_{\mathbf{q}} \hbar\omega_{\mathbf{q}} b_{\mathbf{q}}^+ b_{\mathbf{q}} + \frac{1}{\sqrt{N}} \sum_{\mathbf{k}, \mathbf{q}} g_{\mathbf{q}} a_{\mathbf{k}+\mathbf{q}}^+ a_{\mathbf{k}} (b_{-\mathbf{q}}^+ + b_{\mathbf{q}}),$$

Criterion to CDW  
(Perturbation  
theory)

$$\frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} > \frac{1}{\chi_0(\mathbf{q})}$$

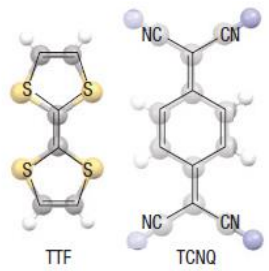
$$\frac{4g_{\mathbf{q}}^2}{\hbar\omega_{\mathbf{q}}} - 2U_{\mathbf{q}} + V_{\mathbf{q}} \geq \frac{1}{\chi_0(\mathbf{q})}$$

More  
generally  
 $\gamma$

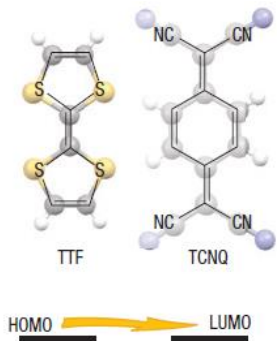


Organic molecular crystal  
tetrathiafulvalene-tetracyanoquinodimethane  
(TTF-TCNQ)

# Introduction



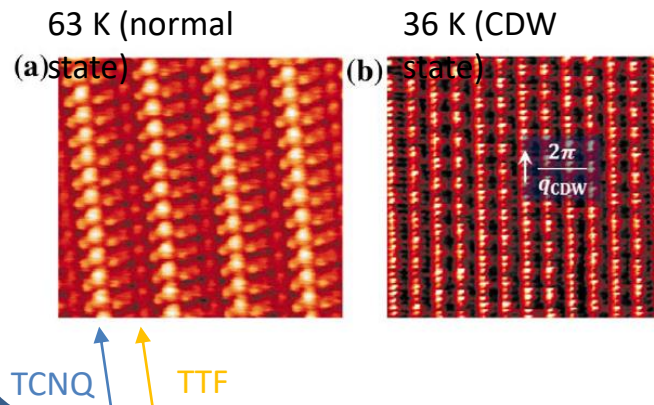
Organic molecular crystal  
tetrathiafulvalene-tetracyanoquinodimethane  
(TTF-TCNQ)



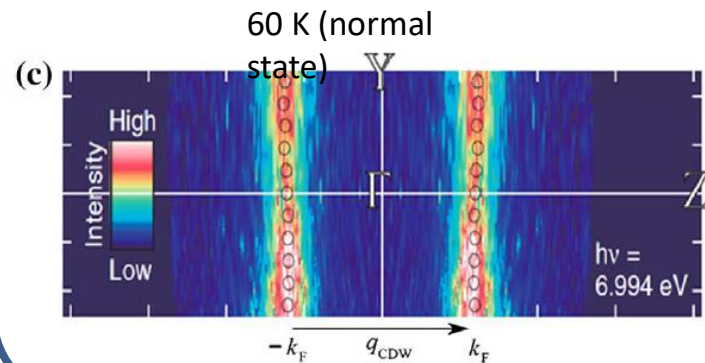
## Introduction

X. Zhu, et al. *Advances in Physics*: X, 2(3), 622-640 (2017).

### STM measurements

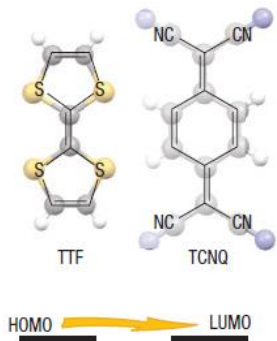


### ARPES measurements





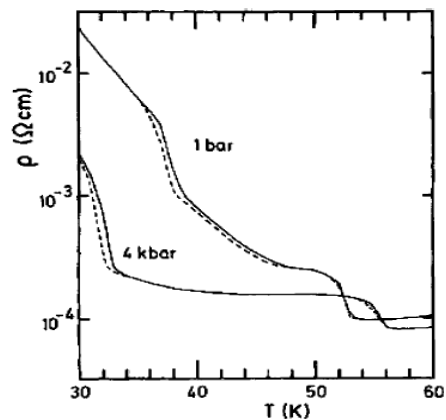
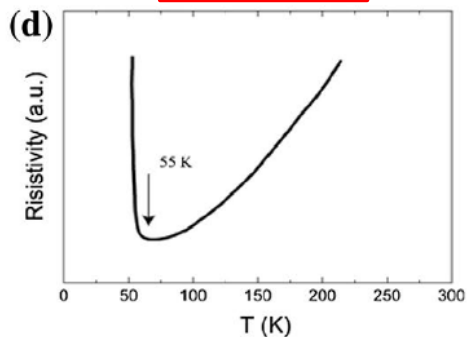
Organic molecular crystal  
tetrathiafulvalene-tetracyanoquinodimethane  
(TTF-TCNQ)



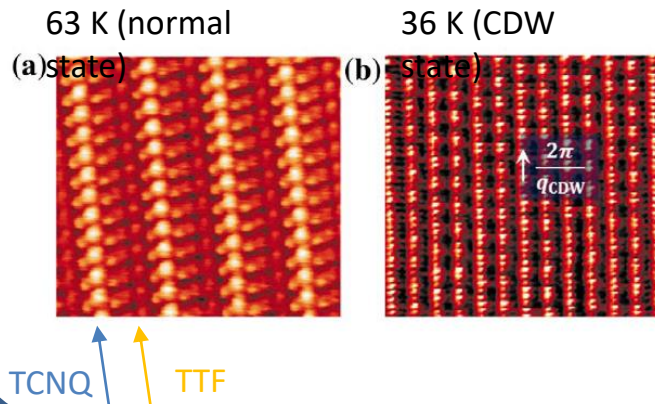
## Introduction

X. Zhu, et al. *Advances in Physics: X*, 2(3), 622-640 (2017).

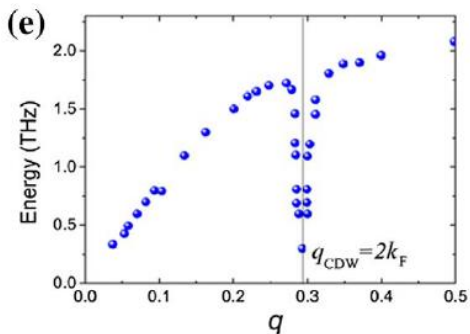
### Resistivity



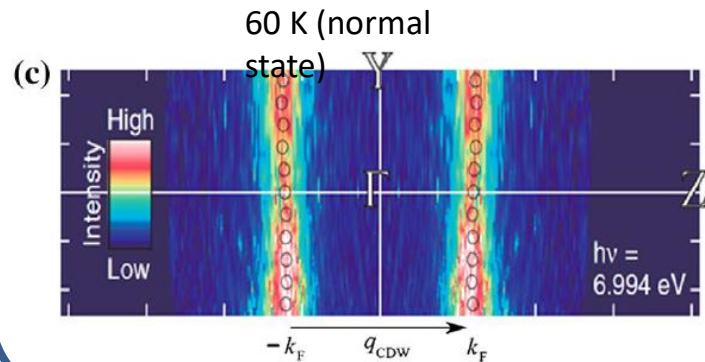
### STM measurements



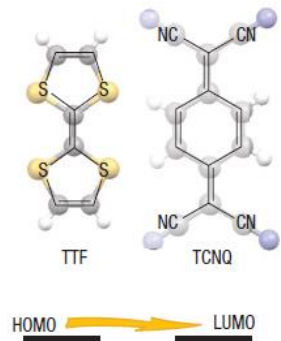
### Inelastic neutron scattering



### ARPES measurements



Organic molecular crystal  
tetrathiafulvalene-tetracyanoquinodimethane  
(TTF-TCNQ)

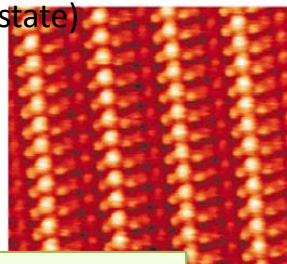


## Introduction

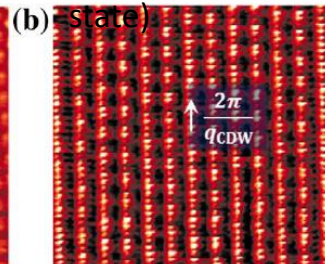
X. Zhu, et al. *Advances in Physics: X*, 2(3), 622-640 (2017).

### STM measurements

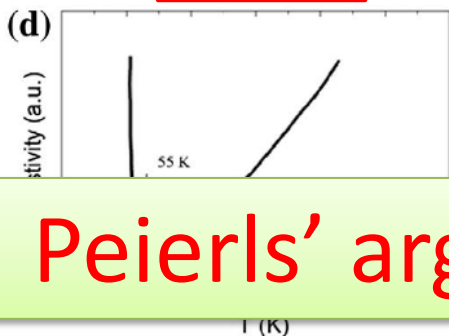
63 K (normal state)



36 K (CDW state)

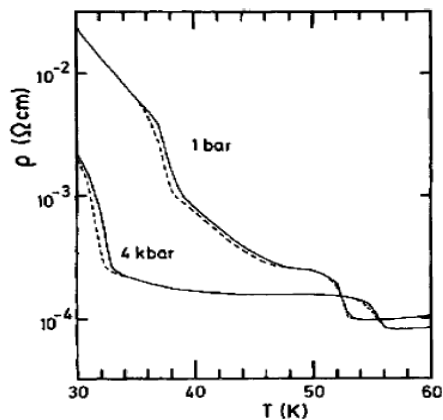
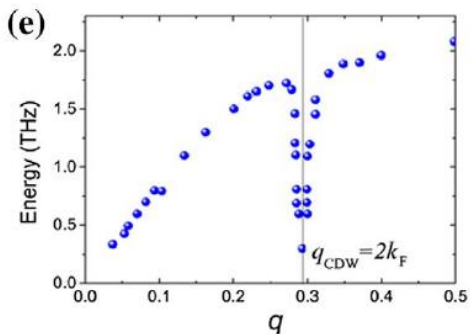


### Resistivity



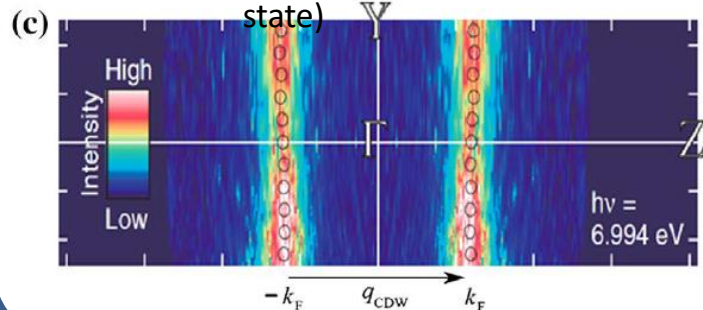
✓ Peierls' argument

### Inelastic neutron scattering



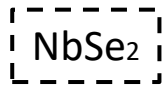
### ARPES measurements

60 K (normal state)

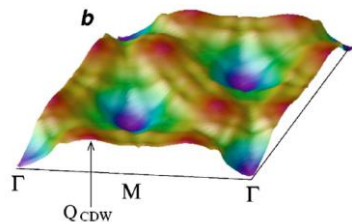
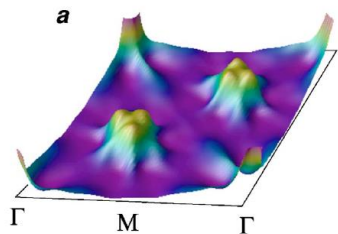


# Introduction

## The Nature of CDW



Quasi-2D material



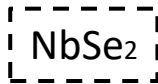
$$\lim_{\omega \rightarrow 0} \chi''(\mathbf{q}, \omega) / \omega$$

$$\chi'(\mathbf{q})$$

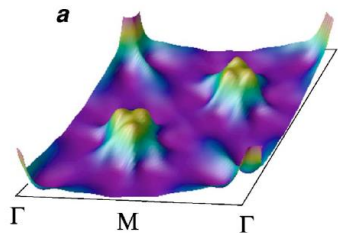
M. D. Johannes, I. I. Mazin, and C. A. Howells, Phys. Rev. B **73**, 205102 (2006).

# Introduction

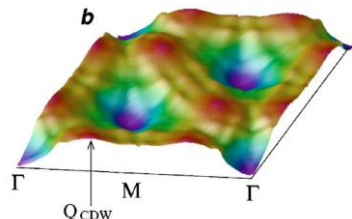
## The Nature of CDW



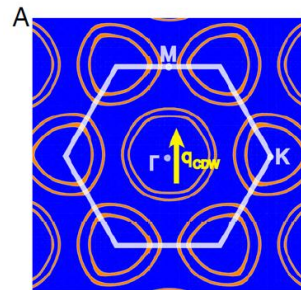
Quasi-2D material



$$\lim_{\omega \rightarrow 0} \chi''(\mathbf{q}, \omega) / \omega$$



$$\chi'(\mathbf{q})$$

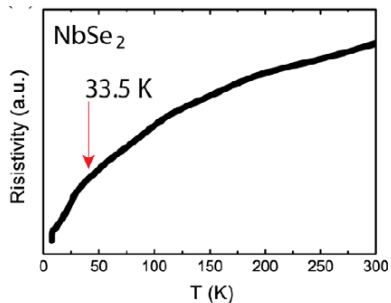


X. Zhu et al., *Proc. Natl Acad. Sci. USA* **112**, 2367–2371 (2015)

- No electronic divergence
- No FSN
- No metal-insulator transition

$$\text{CDW at } \mathbf{q}_{\text{CDW}} = (2/3)|\Gamma\text{M}|$$

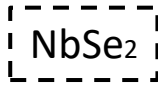
M. D. Johannes, I. I. Mazin, and C. A. Howells, *Phys. Rev. B* **73**, 205102 (2006).



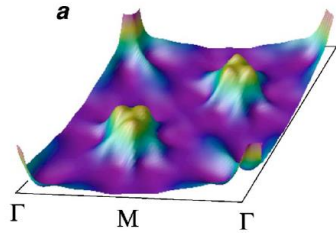
X. Zhu, et al. *Advances in Physics: X*, 2(3), 622-640 (2017).

# Introduction

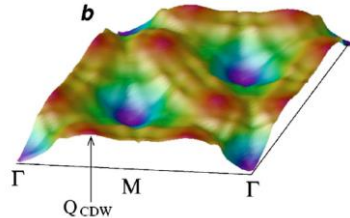
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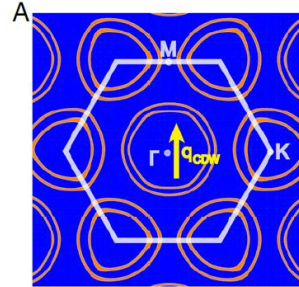
Quasi-2D material



$$\lim_{\omega \rightarrow 0} \chi''(\mathbf{q}, \omega) / \omega$$



$$\chi'(\mathbf{q})$$

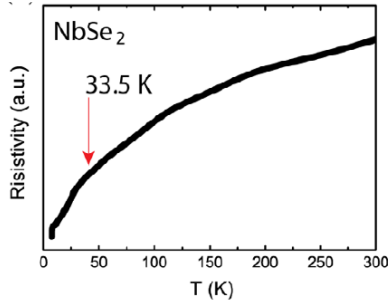


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~~x Peierls' argument~~

X. Zhu, et al. *Advances in Physics: X*, 2(3), 622-640 (2017).

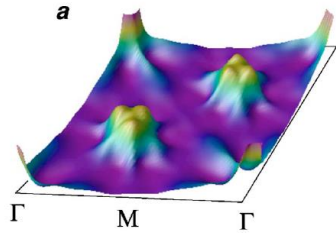
# Introduction

## The Nature of CDW

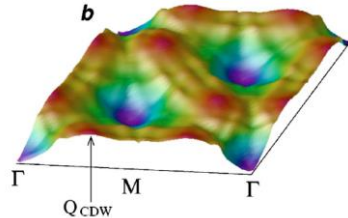
NbSe<sub>2</sub>



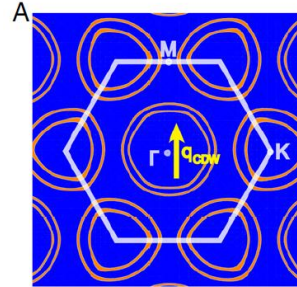
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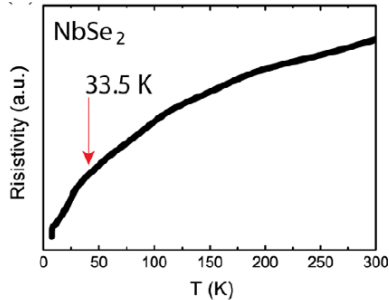


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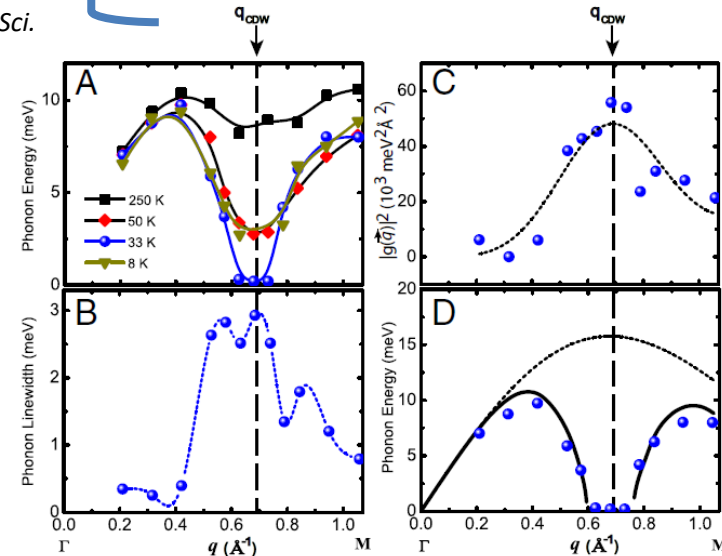


X. Zhu, et al. *Advances in Physics: X*, 2(3), 622-640 (2017).

$$\Gamma_{\text{EPC}}(\vec{q}) = -2|g(\vec{q})|^2 \text{Im}[\chi(\omega, \vec{q})]$$

Measurements of phonon linewidth provide a direct measurement of EPC.

Strong Evidence of a (non-Peierls) CDW by EPC



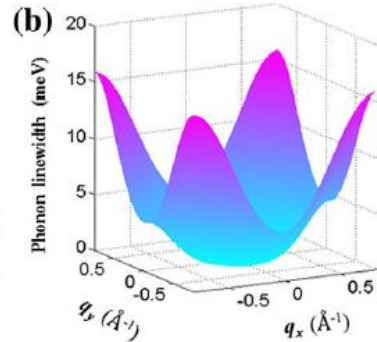
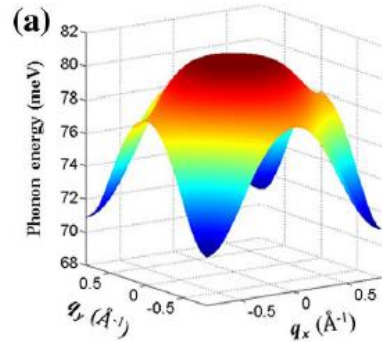
# Introduction

The Nature of  
CDW

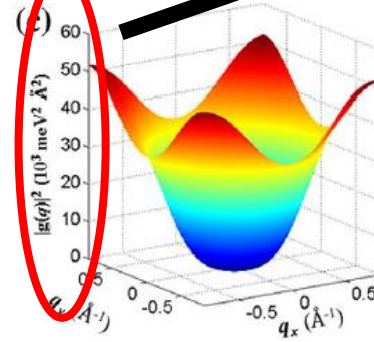
Cuprate  
s

On the other hand ...

optimally doped  $\text{Bi}_2\text{Sr}_2\text{CaCu}_2\text{O}_{8+\delta}$



(ARPES  
measurements)



Strong EPC



But...

No evidence of CDW!

Electron-electron interactions ?